

Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2017Department of the Treasury
Internal Revenue Service

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2017 or tax year beginning

, and ending

| | | | | |
|---|--|---|--|--|
| Name of foundation CHARLOTTE Y. MARTIN FOUNDATION-IMA | | | A Employer identification number 47-2057123 | |
| Number and street (or P.O. box number if mail is not delivered to street address) 1201 THIRD AVE, #5010 | | Room/suite | B Telephone number (see instructions) (206) 664-8827 | |
| City or town, state or province, country, and ZIP or foreign postal code SEATTLE WA 98101 | | C If exemption application is pending, check here <input type="checkbox"/> | | |
| Foreign country name Foreign province/state/country Foreign postal code | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> | | |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> | | |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> | | |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 24,900,786 | | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.) | | |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 471,465 | 471,411 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 1,893,389 | | | |
| | b Gross sales price for all assets on line 6a 22,432,391 | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 1,893,389 | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| Operating and Administrative Expenses | b Less: Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 Total. Add lines 1 through 11 | 2,364,854 | 2,364,800 | 0 | |
| | 13 Compensation of officers, directors, trustees, etc. | 87,400 | | | 87,400 |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) | 93,261 | 25,238 | | 68,022 |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | 61,934 | 7,514 | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | 16,822 | | | 16,822 |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 17,759 | 1,178 | | 16,581 |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 277,176 | 33,930 | 0 | 188,825 |
| | 25 Contributions, gifts, grants paid | 1,167,387 | | | 1,167,387 |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 1,444,563 | 33,930 | 0 | 1,356,212 |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | 920,291 | | | |
| | b Net investment income (if negative, enter -0-) | | 2,330,870 | | |
| | c Adjusted net income (if negative, enter -0-) | | | 0 | |

For Paperwork Reduction Act Notice, see instructions.

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| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | | Beginning of year (a) Book Value | End of year | |
|-----------------------------|---|--|--|-------------------------------------|-----------------------|------------|
| | | | | (b) Book Value | (c) Fair Market Value | |
| Assets | 1 Cash—non-interest-bearing | | | 25,206 | 8,334 | 8,334 |
| | 2 Savings and temporary cash investments | | | 549,642 | 198,183 | 198,183 |
| | 3 Accounts receivable ▶ | | | | | |
| | Less: allowance for doubtful accounts ▶ | | | | | |
| | 4 Pledges receivable ▶ | | | | | |
| | Less: allowance for doubtful accounts ▶ | | | | | |
| | 5 Grants receivable | | | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ | | | | | |
| | Less: allowance for doubtful accounts ▶ | | | | | |
| | 8 Inventories for sale or use | | | | | |
| | 9 Prepaid expenses and deferred charges | | | | | |
| | 10a Investments—U.S. and state government obligations (attach schedule) | | | | | |
| | b Investments—corporate stock (attach schedule) | | | | | |
| | c Investments—corporate bonds (attach schedule) | | | | | |
| Liabilities | 11 Investments—land, buildings, and equipment: basis ▶ | | | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | | | | | |
| | 12 Investments—mortgage loans | | | | | |
| | 13 Investments—other (attach schedule) | | | 21,546,677 | 22,876,982 | 24,694,269 |
| | 14 Land, buildings, and equipment: basis ▶ | | | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | | | | | |
| | 15 Other assets (describe ▶) | | | | | |
| | 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) | | | 22,121,525 | 23,083,499 | 24,900,786 |
| | 17 Accounts payable and accrued expenses | | | | | |
| | 18 Grants payable | | | | | |
| Net Assets or Fund Balances | 19 Deferred revenue | | | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | | | |
| | 22 Other liabilities (describe ▶) | | | | | |
| | 23 Total liabilities (add lines 17 through 22) | | | 0 | 0 | |
| | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> | | | | | |
| Net Assets or Fund Balances | 24 Unrestricted | | | | | |
| | 25 Temporarily restricted | | | | | |
| | 26 Permanently restricted | | | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> | | | | | |
| | 27 Capital stock, trust principal, or current funds | | | 22,121,525 | 23,083,499 | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | | | |
| Net Assets or Fund Balances | 29 Retained earnings, accumulated income, endowment, or other funds | | | | | |
| | 30 Total net assets or fund balances (see instructions) | | | 22,121,525 | 23,083,499 | |
| | 31 Total liabilities and net assets/fund balances (see instructions) | | | 22,121,525 | 23,083,499 | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|------------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 22,121,525 |
| 2 | Enter amount from Part I, line 27a | 2 | 920,291 |
| 3 | Other increases not included in line 2 (itemize) ▶ See Attached Statement | 3 | 52,635 |
| 4 | Add lines 1, 2, and 3 | 4 | 23,094,451 |
| 5 | Decreases not included in line 2 (itemize) ▶ See Attached Statement | 5 | 10,952 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 23,083,499 |

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Part IV Capital Gains and Losses for Tax on Investment Income

| a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|---|---|----------------------------------|
| 1a See Attached Statement | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | | 2 | 1,893,389 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 | | | 3 | 0 |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.☐ Yes ☒ No

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|--|--|---|
| 2016 | 1,571,298 | 23,639,828 | 0.066468 |
| 2015 | 1,177,605 | 22,700,017 | 0.051877 |
| 2014 | 1,209,578 | 24,805,738 | 0.048762 |
| 2013 | 1,044,182 | 23,561,997 | 0.044316 |
| 2012 | 863,804 | 21,586,232 | 0.040016 |
| 2 Total of line 1, column (d) | | | 2 0.251439 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0.050288 |
| 4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 | | | 4 26,916,551 |
| 5 Multiply line 4 by line 3 | | | 5 1,353,580 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 23,309 |
| 7 Add lines 5 and 6 | | | 7 1,376,889 |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. | | | 8 1,356,212 |

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | | |
|-----------|---|----|--------|--|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 46,617 | |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 2 | 0 | |
| 3 | Add lines 1 and 2 | 3 | 46,617 | |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 4 | | |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 46,617 | |
| 6 | Credits/Payments: | | | |
| a | 2017 estimated tax payments and 2016 overpayment credited to 2017 | 6a | 62,778 | |
| b | Exempt foreign organizations—tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 62,778 | |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | 0 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 16,161 | |
| 11 | Enter the amount of line 10 to be: Credited to 2018 estimated tax <input type="checkbox"/> 16,161 Refunded <input type="checkbox"/> | 11 | 0 | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|-----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | N/A |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ WA | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV. | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. | | X |

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Part VII-A Statements Regarding Activities (continued)

| | Yes | No |
|--|-----|-----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | 11 | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. | 12 | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X |
| Website address ▶ N/A | | |
| 14 The books are in care of ▶ BNY MELLON, N.A. Telephone no. ▶ (206) 664-8827 | | |
| Located at ▶ P.O. BOX 185, PITTSBURGH, PA ZIP+4 ▶ 15230-0185 | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year | 15 | N/A |
| 16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | X |
| See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|---|-----|
| 1a During the year, did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. | 1b | X |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) | 2b | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | ▶ 20____, 20____, 20____, 20____ | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) | 3b | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? | 4b | X |

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year, did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance, check here ☐ **5b** N/A
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d). N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870. **6b** X
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No
- 7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|------------------------|---|---|---|---------------------------------------|
| See Attached Statement | .00 | 0 | | |
| | .00 | 0 | | |
| | .00 | 0 | | |
| | .00 | 0 | | |
| | .00 | 0 | | |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000

NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | NONE |

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 NONE | |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 NONE | |
| Total. Add lines 1 through 3 | NONE |

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 26,581,730 |
| b | Average of monthly cash balances | 1b | 744,718 |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 27,326,448 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 27,326,448 |
| 4 | Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions) | 4 | 409,897 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 26,916,551 |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 1,345,828 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

| | | | |
|-----------|---|-----------|-----------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 1,345,828 |
| 2a | Tax on investment income for 2017 from Part VI, line 5 | 2a | 46,617 |
| b | Income tax for 2017. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 46,617 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 1,299,211 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 1,299,211 |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 1,299,211 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|---|-----------|-----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | 1,356,212 |
| b | Program-related investments—total from Part IX-B | 1b | NONE |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 | 4 | 1,356,212 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions | 5 | |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 1,356,212 |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2016 | (c) 2016 | (d) 2017 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2017 from Part XI, line 7 | | | | 1,299,211 |
| 2 Undistributed income, if any, as of the end of 2017: | | | | |
| a Enter amount for 2016 only | | | 1,113,331 | |
| b Total for prior years: 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2017: | | | | |
| a From 2012 | | | | |
| b From 2013 | | | | |
| c From 2014 | | | | |
| d From 2015 | | | | |
| e From 2016 | | | | |
| f Total of lines 3a through e | 0 | | | |
| 4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$ <u>1,356,212</u> | | | | |
| a Applied to 2016, but not more than line 2a | | | 1,113,331 | |
| b Applied to undistributed income of prior years (Election required—see instructions) | | | | |
| c Treated as distributions out of corpus (Election required—see instructions) | | | | |
| d Applied to 2017 distributable amount | | | | 242,881 |
| e Remaining amount distributed out of corpus | | | | |
| 5 Excess distributions carryover applied to 2017. (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0 | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions | | | | |
| e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018 | | | | 1,056,330 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) | | | | |
| 8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a | | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2013 | | | | |
| b Excess from 2014 | | | | |
| c Excess from 2015 | | | | |
| d Excess from 2016 | | | | |
| e Excess from 2017 | | | | |

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------------------|
| Name and address (home or business) | | | | |
| a Paid during the year See Attached Statement | | | | |
| Total | | | | 3a 1,167,387 |
| b Approved for future payment NONE | | | | |
| Total | | | | 3b NONE |

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

CORPORATION OF GONZAGA UNIVERSITY

Street

502 E BOONE AVE

City

SPOKANE

State

WA

Zip Code

99202

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

CHARITABLE-EDUCATIONAL REMAINING 2017 GRANT DUE TO GONZAGA

Amount

15,102

Name

PUGET SOUND RESTORATION FUND

Street

382 WYATT WAY NE

City

BAINBRIDGE ISLAND

State

WA

Zip Code

98110

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

RESTORATION AND RESEARCH ACTIONS AT THE CHEW CENTER TO CONSERVE BIODIVERSITY

Amount

24,750

Name

WILD SALMON CENTER

Street

721 NW NINTH AVENUE, SUITE 300

City

PORTLAND

State

OR

Zip Code

97209

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

RECONNECTING WASHINGTON'S BEST SALMON RIVERS

Amount

10,000

Name

KETTLE RANGE CONSERVATION GROUP

Street

P.O. BOX 150

City

REPUBLIC

State

WA

Zip Code

99166

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GRANT COLUMBIA HIGHLANDS INITIATIVE

Amount

10,000

Name

YAAK VALLEY FOREST COUNCIL

Street

265 RIVER VIEW

City

TROY

State

MT

Zip Code

59935

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

NEXUS FOR AN INLAND ARCHIPELAGO

Amount

5,000

Name

THE NATURE CONSERVANCY

Street

322 8TH AVENUE

City

NEW YORK

State

NY

Zip Code

10001

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

BALANCING GROUNDWATER IN THE HARNEY BASIN

Amount

25,000

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

| | | | | |
|---|--------------------------------|--------------------------|------------------------|-------------------------|
| Name XERCES SOCIETY, INC. | | | | |
| Street 628 NY BROADWAY, SUITE 300 | | | | |
| City PORTLAND | State OR | Zip Code 97232 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution PROMOTING ECOSYSTEM RESILIENCE IN PACIFIC NORTHWEST WATERWAYS | | | | Amount 10,000 |
| Name GALLATIN VALLEY LAND TRUST | | | | |
| Street 212 S. WALLACE # 102 | | | | |
| City BOZEMAN | State MT | Zip Code 59715 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution STRATEGIC LAND CONSERVATION PLAN | | | | Amount 5,000 |
| Name WOODLAND PARK ZOO | | | | |
| Street 5500 PHINNEY AVE NORTH | | | | |
| City SEATTLE | State WA | Zip Code 98103 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution WOLVERINE SCIENCE AND CONSERVATION PROGRAM | | | | Amount 15,000 |
| Name TREASURE VALLEY CHILDREN'S RELIEF NURSERY | | | | |
| Street 780 SE 6TH STREET | | | | |
| City ONTARIO | State OR | Zip Code 97914 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution CHARITABLE GRANT PROGRAM EXPENSES | | | | Amount 2,000 |
| Name CALDERA YOUTH MENTOR PROGRAM | | | | |
| Street 224 NM 13TH AVENUE, SUITE 304 | | | | |
| City PORTLAND | State OR | Zip Code 97209 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution CALDERA YOUTH MENTOR PROGRAM | | | | Amount 10,000 |
| Name PONGO PUBLISHING | | | | |
| Street 4701 SW ADMIRAL WAY #308 | | | | |
| City SEATTLE | State WA | Zip Code 98116 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution PONGO JUVENILE DETENTION POETRY PROJECT | | | | Amount 5,000 |

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name BOYS & GIRLS CLUB OF THE FLATHEAD | | | |
| Street 110 6TH AVENUE E | | | |
| City POLSON | State MT | Zip Code 59860 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution LEARNING AND PROTECTING OUR CULTURAL AND NATURAL WORLD | | | Amount 15,000 |
| Name THE BLUEPRINT FOUNDATION | | | |
| Street 19 NW 5TH AVENUE, SUITE 203 | | | |
| City PORTLAND | State OR | Zip Code 97209 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution ENVIRONMENTAL SCIENCE BLACK YOUTH MENTORING PROGRAM | | | Amount 15,000 |
| Name BOZEMAN MULTISPORT AQUATICS | | | |
| Street 1211 W MAIN STREET | | | |
| City BOZEMAN | State MT | Zip Code 59715 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution BOZEMAN MULTISPORT AQUATICS LATINO SCHOLARSHIPS | | | Amount 5,000 |
| Name NA'AH ILLAHEE FUND | | | |
| Street 6512 23RD AVENUE NW, SUITE 301 | | | |
| City SEATTLE | State WA | Zip Code 98117 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution GEN7 NATIVE YOUTH / NATIVE GIRLS CODE PROGRAM | | | Amount 10,000 |
| Name EAST AFRICAN COMMUNITY SERVICES | | | |
| Street 7050 32ND AVENUE S | | | |
| City SEATTLE | State WA | Zip Code 98118 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution K-12 AFTER SCHOOL PROGRAM | | | Amount 7,500 |
| Name YWCA OF MISSOULA | | | |
| Street 1130 W BROADWAY ST | | | |
| City MISSOULA | State MT | Zip Code 59802 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution GIRLS REPRESENTING IN TRADES | | | Amount 5,000 |

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name SPOKANE EASTSIDE REUNION ASSOCIATION | | | |
| Street 3001 3 5TH | | | |
| City SPOKANE | State WA | Zip Code 99202 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution SERA YOUTH BASKETBALL CAMP | | | Amount 10,000 |

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name MONTANA STATE UNIVERSITY FOUNDATION | | | |
| Street 1501 S 11TH AVENUE | | | |
| City BOZEMAN | State MT | Zip Code 59717 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution MONTANA APPRENTICESHIP PROGRAM AT MONTANA STATE UNIVERSITY | | | Amount 12,600 |

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name DESCHUTES RIVER ALLIANCE | | | |
| Street 5331 SW MACADAM AVENUE, SUITE 330 | | | |
| City PORTLAND | State OR | Zip Code 97239 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution SAVING THE WILD AND SCENIC LOWER DESCHUTES RIVER | | | Amount 10,000 |

| | | | |
|--|--------------------------------|--------------------------|------------------------|
| Name SANDY RIVER BASIN WATERSHED COUNCIL | | | |
| Street 26000 S E STARK STREET, GE BUILDING | | | |
| City GRESHAM | State OR | Zip Code 97030 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution SANDY RIVER DELTA CLIMATE ADAPTATION PROJECT | | | Amount 8,000 |

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name SELKIRK CONSERVATION ALLIANCE | | | |
| Street 1604 9TH STREET | | | |
| City PRIEST RIVER | State ID | Zip Code 83856 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution CLIMATE CHANGE FOREST ASSESSMENT | | | Amount 10,000 |

| | | | |
|---|--------------------------------|--------------------------|-------------------------|
| Name BLUE MOUNTAINS BIODIVERSITY PROJECT | | | |
| Street 27803 WILLIAMS LANE | | | |
| City FOSSIL | State OR | Zip Code 97830 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution BLUE MOUNTAINS BIODIVERSITY PROJECT | | | Amount 10,000 |

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name CASCADE PACIFIC RC&D - SOUTH FORK | | | |
| Street 847 NW MONROE AVENUE | | | |
| City CORVALISS | State OR | Zip Code 97330 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution UPPER SOUTH FORK JOHN DAY RIVER RAPID RIPARIAN | | | Amount 10,000 |

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name NATIONAL PARKS CONSERVATION | | | |
| Street 256 W 36TH STREET | | | |
| City NEW YORK | State NY | Zip Code 10018 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution PROTECTING AND RESTORING YELLOWSTONE PRONGHORN HABITAT | | | Amount 10,000 |

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name HEART OF THE ROCKIES INITIATIVE | | | |
| Street 1790 E 2000 S | | | |
| City DRIGGS | State ID | Zip Code 83422 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution LANDSCAPE SCALE CONSERVATION IN THE HIGH DIVIDE OF MONTANA & IDAHO | | | Amount 10,000 |

| | | | |
|--|--------------------------------|--------------------------|------------------------|
| Name CENTENNIAL VALLEY ASSOCIATION | | | |
| Street P.O. BOX 270077 | | | |
| City DELL | State MT | Zip Code 59724 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution INVASIVE SPECIES MANAGEMENT IN THE C.V. OF MONTANA | | | Amount 7,500 |

| | | | |
|---|--------------------------------|--------------------------|------------------------|
| Name UNIVERSITY OF IDAHO FOUNDATION | | | |
| Street 1210 BLAKE AVENUE | | | |
| City MOSCOW | State ID | Zip Code 83843 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution E-STEM FOR LATINO/A YOUTH | | | Amount 6,740 |

| | | | |
|---|--------------------------------|--------------------------|-------------------------|
| Name CLARK COUNTY LATINO YOUTH LEADERSHIP | | | |
| Street 1933 FORT VANCOUVER WAY | | | |
| City VANCOUVER | State WA | Zip Code 98663 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution LATINO YOUTH ENGAGEMENT PROGRAM | | | Amount 10,000 |

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

EXPLORADOR CAMP BIENESTAR

Street

220 SE 12TH AVENUE, SUITE #A-100

City

HILLSBORO

State

OR

Zip Code

97123

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

EXPLORADOR CAMP

Amount

5,000

Name

RAINIER SCHOLARS

Street

2100 24TH AVENUE S #360

City

SEATTLE

State

WA

Zip Code

98144

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

PROGRAM EXPENSES

Amount

10,000

Name

YOUNG WOMEN EMPOWERED

Street

2524 16TH AVENUE S, SUITE #301

City

SEATTLE

State

WA

Zip Code

98144

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

Y-WE LEAD

Amount

10,000

Name

PORT GAMBLE S'KLALLAM FOUNDATION

Street

31912 LITTLE BOSTON ROAD NE

City

KINGSTON

State

WA

Zip Code

98346

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

TEACHING YOUTH TRADITIONAL METHODS OF SUSTAINABILITY

Amount

5,000

Name

ELITE SPORTS ACADEMY

Street

4651 COLEBROOK AVENUE

City

EMMAUS

State

PA

Zip Code

18049

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

ELITE SPORTS ACADEMY

Amount

5,000

Name

CONSTRUCTING HOPE

Street

405 NE CHURCH STREET

City

PORTLAND

State

OR

Zip Code

97211

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

CONSTRUCTION TRADES FOR DISADVANTAGED YOUTH SUMMER CAMP

Amount

5,000

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

| | | | |
|---|--------------------------------|--------------------------|--------------------------|
| Name BIKE WORKS | | | |
| Street 35 BROADWAY | | | |
| City BROOKLYN | State NY | Zip Code 11249 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution BICYCLE CLUBS FOR LOW-INCOME, MINORITY AND URBAN YOUTH | | | Amount 5,000 |
| Name API CHAYA | | | |
| Street P.O. BOX 14047 | | | |
| City SEATTLE | State WA | Zip Code 98114 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution FILIPINO YOUTH REUNITE TO ELEVATE | | | Amount 5,000 |
| Name PACIFIC RIVERS | | | |
| Street 1001 SE WATER AVENUE, SUITE 450 | | | |
| City PORTLAND | State OR | Zip Code 97214 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution PROTECTING AQUATIC ECOSYSTEMS ON OREGON'S PRIVATE TIMBERLANDS | | | Amount 10,000 |
| Name MARI'S PLACE | | | |
| Street 2321 HOYT AVENUE | | | |
| City EVERETT | State WA | Zip Code 98201 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution PROGRAM EXPENSES | | | Amount 6,000 |
| Name SEATTLE CHILDREN'S THEATRE | | | |
| Street 201 THOMAS STREET | | | |
| City SEATTLE | State WA | Zip Code 98109 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution 30TH ANNIVERSARY GRANT - 1ST INSTALLMENT | | | Amount 100,000 |
| Name FRIENDS FOREVER MENTORING | | | |
| Street 49518 US HIGHWAY 93 | | | |
| City POLSON | State MT | Zip Code 59860 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution NATIVE YOUTH MENTORSHIP | | | Amount 10,000 |

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name PIEGAN INSTITUTE | | | |
| Street P.O. BOX 890 | | | |
| City BROWNING | State MT | Zip Code 59417 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution CUTS WOOD SCHOOL | | | Amount 10,000 |

| | | | |
|---|--------------------------------|--------------------------|------------------------|
| Name ARCTIC HEAT | | | |
| Street P.O. BOX 7 | | | |
| City HILLSDALE | State NJ | Zip Code 07642 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution ARCTIC HEAT | | | Amount 6,907 |

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name FOUR RIVERS CULTURAL CENTER | | | |
| Street 676 SW 5TH AVENUE | | | |
| City ONTARIO | State OR | Zip Code 97914 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution FOUR RIVERS CULTURAL CENTER CHILDREN'S THEATRE PROGRAM | | | Amount 10,000 |

| | | | |
|---|--------------------------------|--------------------------|-------------------------|
| Name INDIAN NATIONS CONSERVATION ALLIANCE | | | |
| Street 350 NYE ROAD | | | |
| City TWIN BRIDGES | State MT | Zip Code 59754 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution INCREASING NATIVE AMERICAN HIGH SCHOOL STUDENTS | | | Amount 15,000 |

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name REFUGEE WOMEN'S ALLIANCE | | | |
| Street 4008 MARTIN LUTHER KING JR WAY S | | | |
| City SEATTLE | State WA | Zip Code 98108 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution REWA POST-SECONDARY SUCCESS PROGRAM (PSSP) | | | Amount 10,000 |

| | | | |
|--|--------------------------------|--------------------------|------------------------|
| Name THE BLACK UNITED FUND OF OREGON | | | |
| Street 2828 NE ALBERTA STREET | | | |
| City PORTLAND | State OR | Zip Code 97211 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution MENTOR4SUCCESS (M4S) | | | Amount 5,000 |

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

ACTIVE CHILDREN PORTLAND

Street

7931 NE HASLEY STREET #201

City

PORTLAND

State

OR

Zip Code

97213

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

AC PORTLAND

Amount

10,000

Name

ARTS CORPS

Street

790 RIVERSIDE DRIVE W

City

NEW YORK

State

NY

Zip Code

10032

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

TEEN ARTIST PROGRAM

Amount

10,000

Name

CENTER FOR RESTORATIVE YOUTH JUSTICE

Street

29 3RD STREET E

City

KALISPELL

State

MT

Zip Code

59901

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

CRYJ TRELLIS AFTERSCHOOL PROGRAM: EMPOWERING YOUTH BY EXPANDING OUT-OS-SCHO

Amount

5,000

Name

SUN PROGRAM LATINO NETWORK

Street

410 NE 18TH AVENUE

City

PORTLAND

State

OR

Zip Code

97232

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SUN PROGRAM

Amount

5,000

Name

THE GREATER SEATTLE BUREAU OF FEARLESS IDEAS

Street

8414 GREENWOOD AVENUE NORTH

City

SEATTLE

State

WA

Zip Code

98103

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

YESLER TERRACE AFTER SCHOOL TUTORING AND WRITING

Amount

5,000

Name

TOTEM STAR

Street

4408 DELRIDHE WAY SW

City

SEATTLE

State

WA

Zip Code

98106

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

TOTEM STAR

Amount

10,000

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

URBAN LEAGUE OF METROPOLITAN SEATTLE

Street

105 14TH AVENUE

City

SEATTLE

State

WA

Zip Code

98122

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GIRLS ARE GRAND INITIATIVE

Amount

15,000

Name

TRUSTEES FOR ALASKA

Street

1026 W 4TH AVENUE, SUITE 201

City

ANCHORAGE

State

AK

Zip Code

99501

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

TRUSTEES FOR ALASKA LEGAL SERVICES TO PROTECT BIODIVERSITY IN A CHANGING CLIMAT

Amount

10,000

Name

NORTHERN ALASKA ENVIRONMENTAL CENTER

Street

830 COLLEGE ROAD

City

FAIRBANKS

State

AK

Zip Code

99701

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

SEEKING A JUST TRANSITION FOR ARCTIC ALASKA

Amount

10,000

Name

PORTLAND PLAYHOUSE

Street

602 NE PRESCOTT STREET

City

PORTLAND

State

OR

Zip Code

97211

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

SOCIAL JUSTICE FESTIVAL

Amount

5,000

Name

ADELANTE MUJERES

Street

2030 MAIN STREET, SUITE A

City

FOREST GROVE

State

OR

Zip Code

97116

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

CHICHAS YOUTH DEVELOPMENT

Amount

10,000

Name

CHEHALEM CULTURAL CENTER

Street

415 E SHERIDAN STREET

City

NEWBERG

State

OR

Zip Code

97132

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

YOUTH MARIACHI PROJECT

Amount

7,000

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

NIMIIPUU PROTECTING THE ENVIRONMENT

Street

P.O. BOX 452

City

LAPWAI

State

ID

Zip Code

83540

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

NEZ PERCE CULTURAL EXPERIENCE PROGRAM

Amount

10,000

Name

BALLET FOLKLORICO RITMO ALEGRE

Street

P.O. BOX 795

City

TALENT

State

OR

Zip Code

97540

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SHARING MEXICAN CULTURAL DANCE OPPORTUNITIES WITH BALLET FOLKLORICO

Amount

6,000

Name

FORT ROBINSON OUTBREAK SPIRITUAL RUN AND YELLOW BIRD

Street

P.O. BOX 1138

City

LAME DEER

State

MT

Zip Code

59423

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

FORT ROBINSON OUTBREAK SPIRITUAL RUN AND EMPOWERMENT PROGRAMS

Amount

5,000

Name

ALASKA CENTER FOR THE ENVIRONMENT

Street

921 W 6TH AVENUE # 200

City

ANCHORAGE

State

AK

Zip Code

99501

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

ALASKA YOUTH FOR ENVIRONMENTAL ACTION (AYEA)

Amount

10,000

Name

CENTER FOR ALASKAN COASTAL STUDIES

Street

708 SMOKY BAY WAY

City

HOMER

State

AK

Zip Code

99603

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

YOUTH N.O.W. (NATURE & OUTDOOR WELLNESS)

Amount

5,000

Name

JANNUS INC.

Street

1607 W JEFFERSON STREET

City

BOISE

State

ID

Zip Code

83702

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

AGENCY FOR NEW AMERICANS, A PROGRAM OF JANNUS, INC

Amount

10,000

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

| | | | |
|--|--------------------------------|--------------------------|------------------------|
| Name STAGES PERFORMING ARTS YOUTH ACADEMY | | | |
| Street 22115 NE IMBRIE DRIVE #237 | | | |
| City HILLSBORO | State OR | Zip Code 97124 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution WHITE BUFFALO CALF WOMAN THEATRE | | | Amount 2,500 |

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name WESTERN NATIVE VOICE | | | |
| Street 220 SOUTH 27TH STREET, SUITE C | | | |
| City BILLINGS | State MT | Zip Code 59101 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution URBAN NATIVE YOUTH PROGRAM | | | Amount 10,000 |

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name SALISH SCHOOL OF SPOKANE | | | |
| Street 4125 N MAPLE STREET | | | |
| City SPOKANE | State WA | Zip Code 99205 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution NATIVE YOUTH EMPOWERMENT PROJECT | | | Amount 15,000 |

| | | | |
|---|--------------------------------|--------------------------|-------------------------|
| Name OWL RESEARCH INSTITUTE | | | |
| Street 35490 NINEPIPE LANE | | | |
| City CHARLO | State MT | Zip Code 59824 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution IDENTIFYING CLIMATE CHANGE ON ARCTIC ECOSYSTEMS | | | Amount 15,000 |

| | | | |
|---|--------------------------------|--------------------------|-------------------------|
| Name SWAN VALLEY CONNECTIONS | | | |
| Street 6887 MT-83 | | | |
| City CONDON | State MT | Zip Code 59826 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution ASSESSING DISTRIBUTION OF SNOW-DEPENDENT CARNIVORES IN A CHANGING CLIMATE | | | Amount 10,000 |

| | | | |
|--|--------------------------------|--------------------------|-------------------------|
| Name KLAMATH BIRD OBSERVATORY | | | |
| Street 320 BEACH STREET | | | |
| City ASHLAND | State OR | Zip Code 97520 | Foreign Country |
| Relationship NONE | Foundation Status PC | | |
| Purpose of grant/contribution LIMITING FACTORS ON POPULATIONS OF OREGON VESPER SPARROW | | | Amount 15,000 |

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

| | | | | |
|---|--------------------------------|--------------------------|------------------------|-------------------------|
| Name POWDER BASIN WATERSHED COUNCIL | | | | |
| Street 2960 BROADWAY STREET | | | | |
| City BAKER CITY | State OR | Zip Code 97814 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution POWDER BASIN SWAT ANALYSIS | | | | Amount 10,000 |
| Name KACHEMAK HERITAGE LAND TRUST | | | | |
| Street 315 KLONDIKE AVENUE | | | | |
| City HOMER | State AK | Zip Code 99603 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution KENAI MOUNTAINS TO SEA PARTNERSHIP | | | | Amount 5,000 |
| Name SPOKANE RIVERKEEPER | | | | |
| Street 35 W MAIN, SUITE 300 | | | | |
| City SPOKANE | State WA | Zip Code 99201 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution SPOKANE RIVERKEEPER, A PROGRAM OF THE CENTER FOR JUSTICE | | | | Amount 7,500 |
| Name CRAIGHEAD ENVIRONMENTAL | | | | |
| Street 201 S WALLACE AVENUE | | | | |
| City BOZEMAN | State MT | Zip Code 59715 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution UNDERSTANDING THE EFFECTS OF CLIMATE CHANGE ON PIKA POPULATIONS IN MONTANA AN | | | | Amount 10,000 |
| Name KWAHT | | | | |
| Street 211 LOPEZ ROAD # 9 | | | | |
| City LOPEZ ISLAND | State WA | Zip Code 98261 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution RE-THINKING FIRE MANAGEMENT AND TERRESTRIAL | | | | Amount 10,000 |
| Name WESTERN ENVIRONMENTAL LAW CENTER | | | | |
| Street P.O. BOX 10947 | | | | |
| City EUGENE | State OR | Zip Code 97440 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution UNIFYING VOICES TO PRESERVE AND PROTECT WASHINGTON'S MOST VITAL HABITAT | | | | Amount 15,000 |

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year
Recipient(s) paid during the year

| | | | | |
|--|--------------------------------|--------------------------|------------------------|-------------------------|
| Name CLARK FORK COALITION | | | | |
| Street 140 S 4TH STREET W #1 | | | | |
| City MISSOULA | State MT | Zip Code 59801 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution ENHANCING CLIMATE REFUGIA FOR UPPER CLARK FORK WESTSLOPE CUTTHROAT TROUT | | | | Amount 5,000 |
| Name THE PORT TOWNSEND MARINE | | | | |
| Street 532 BATTERY PARK WAY | | | | |
| City PORT TOWNSEND | State WA | Zip Code 98368 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution PLACE-BASED CITIZEN SCIENCE IN THE PROTECTION ISLAND AQUATIC RESERVE | | | | Amount 7,500 |
| Name WILDLANDS NETWORK | | | | |
| Street 1402 3RD AVENUE, SUITE 1019 | | | | |
| City SEATTLE | State WA | Zip Code 98101 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution WILDLANDS NETWORK'S CASCADIA HALF-EARTH CAMPAIGN | | | | Amount 20,000 |
| Name WORLD MIND CREATION | | | | |
| Street RAINER VISTA | | | | |
| City SEATTLE | State WA | Zip Code 98108 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution WORLD MIND CREATION ACADEMY YOUNG COMMUNITY BUILDERS | | | | Amount 10,000 |
| Name ROGUE PACK | | | | |
| Street 901 SE SPOKANE STREET | | | | |
| City PORTLAND | State OR | Zip Code 97202 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution STORYTELLING THEATRE WORKSHOP AT DONALE E. LONG SCHOOL | | | | Amount 5,000 |
| Name YWCA SEATTLE KING SNOHOMISH | | | | |
| Street 1118 5TH AVENUE | | | | |
| City SEATTLE | State WA | Zip Code 98101 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution GIRLSFIRST | | | | Amount 10,000 |

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

OKANOGAN LAND TRUST

Street

203 2ND AVENUE S #120

City

OKANOGAN

State

WA

Zip Code

98840

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

BOARD DISCRETION GRANT

Amount

10,000

Name

PILCHUCK AUDUBON SOCIETY

Street

1429 AVENUE D

City

SNOHOMISH

State

WA

Zip Code

98290

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

BOARD DISCRETION GRANT

Amount

10,000

Name

THE SHUNPIKE FOR LOOK, LISTEN AND LEARN

Street

815 SEATTLE BOULEVARD, SUITE 215

City

SEATTLE

State

WA

Zip Code

98134

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

BOARD DISCRETION GRANT

Amount

10,000

Name

YAAK VALLEY FOREST COUNCIL

Street

265 RIVER VIEW DRIVE

City

TROY

State

MT

Zip Code

59935

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

NEXUS FOR AN INLAND ARCHIPELAGO

Amount

25,000

Name

CONSERVATION NORTHWEST

Street

1829 10TH AVENUE, SUITE B

City

SEATTLE

State

WA

Zip Code

98119

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SAGELANDS HERITAGE PROGRAM 2017 FALL INVITATIONAL

Amount

25,000

Name

SEVENTH GENERATION FUND FOR INDIGENOUS PEOPLES, INC.

Street

P.O. BOX 4569

City

ARCATA

State

CA

Zip Code

95518

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

BLUE HEAVEN HARNESSING HOPE

Amount

10,000

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

| | | | | |
|--|--------------------------------|--------------------------|------------------------|-------------------------|
| Name KLAMATH-SISKIYOU WILDLANDS CENTER | | | | |
| Street 3185 E MAIN STREET | | | | |
| City ASHLAND | State OR | Zip Code 97520 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution PROTECTING PUBLIC LAND AND BIODIVERSITY | | | | Amount 50,000 |
| Name MIJA | | | | |
| Street P.O. BOX 1121 | | | | |
| City HELENA | State MT | Zip Code 59624 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution COMUNIDAD ACCION BIENESTAR-SALUD COMUNIDAD | | | | Amount 10,000 |
| Name CENTRO DE COMUNIDAD Y JUSTICIA | | | | |
| Street 4696 W OVERLAND ROAD, SUITE 224 | | | | |
| City BOISE | State ID | Zip Code 83705 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution ESCUELA CALMECAC | | | | Amount 24,788 |
| Name SOUTHEAST ALASKA CONSERVATION | | | | |
| Street 224 GOLD STREET | | | | |
| City JUNEAU | State AK | Zip Code 99801 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution PROTECTING CRITICAL ECOSYSTEMS - S. KELLY | | | | Amount 10,000 |
| Name ORCA SURVEY CENTER | | | | |
| Street P.O. BOX 1577 | | | | |
| City FRIDAY HARBOR | State WA | Zip Code 98250 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution ORCA SURVEY | | | | Amount 20,000 |
| Name NORTHERN ALASKA ENVIRONMENTAL CENTER | | | | |
| Street 830 COLLEGE ROAD | | | | |
| City FAIRBANKS | State AK | Zip Code 99701 | Foreign Country | |
| Relationship NONE | Foundation Status PC | | | |
| Purpose of grant/contribution ARCTIC ALASKA JUST TRANSITION - S. KELLY | | | | Amount 10,000 |

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

TECHNOLOGY ACCESS FOUNDATION

Street

605 SW 108TH STREET

City

SEATTLE

State

WA

Zip Code

98146

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

2017 INVITATIONAL - STEM CAREER READINESS

Amount

25,000

Name

THE SERVICE BOARD

Street

4408 DELRIDGE WAY SW #100

City

SEATTLE

State

WA

Zip Code

98106

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

2017 BOARD DISCRETION - WINTER CORE PROGRAM

Amount

10,000

Name

NORTH GULF OCEANIC SOCIETY

Street

3430 MAIN STREET # B1

City

HOMER

State

AK

Zip Code

99603

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

2017 INVITATIONAL CYCLE - KILLER WHALE MONITORING

Amount

25,000

Name

EMODE LEARNING FOUNDATION

Street

4520 MARTIN LUTHER KING JR WAY SOUTH

City

SEATTLE

State

WA

Zip Code

98108

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

2017 BOARD DISCRETION SAT MATH ACADEMY

Amount

10,000

Name

SEATTLE THEATRE GROUP

Street

911 PINE STREET

City

SEATTLE

State

WA

Zip Code

98101

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

2017 BOARD DISCRETION - 2018 AILEYCAMP

Amount

10,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

CHARLOTTE Y. MARTIN FOUNDATION-IMA

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4/24/2018 4:16:45 PM - XXXXXX3400 - 668 - WTM - CHARLOTTE Y. MARTIN FOUNDATION-IMA

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Amount | | Totals | | Gross Sales | | Cost or Other Basis, Expenses, Depreciation and Adjustments | | Net Gain or Loss | | | | | |
|-------------------------------|-----------|---------------------------------|--------------------------------------|--------------------|---------------|---|-------------------|---------------------|------------------|--|--------------|-------------|------------------|
| Long Term CG Distributions | | Capital Gains/Losses | | 22,432,381 | | 20,536,002 | | 1,896,379 | | | | | |
| Short Term CG Distributions | | Other Sales | | 0 | | 0 | | 0 | | | | | |
| Description | C/USP # | Check "X" to Include in Part IV | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
| 1 DREYFUS SELECT MGRER SOC | 88271F529 | X | | | 3/21/2018 | 8/13/2017 | 50,772 | 40,000 | | | | | 10,772 |
| 2 DREYFUS INTERNATIONAL S | 88271F537 | X | | | 1/26/2018 | 8/13/2017 | 318,843 | 284,853 | | | | | 33,990 |
| 3 DREYFUS INTERNATIONAL S | 88271F537 | X | | | 1/26/2018 | 8/13/2017 | 31,881 | 26,000 | | | | | 5,881 |
| 4 DREYFUS SELECT MGRER SOC | 88271F590 | X | | | 1/6/2018 | 8/13/2017 | 457,224 | 350,000 | | | | | 107,224 |
| 5 DREYFUS SELECT MGRER SOC | 88271F590 | X | | | 3/31/2018 | 8/13/2017 | 20,824 | 18,880 | | | | | 1,944 |
| 6 SYNCHRONY FINANCIAL | 871888103 | X | | | 10/9/2016 | 11/29/2017 | 5,780 | 4,758 | | | | | 1,022 |
| 7 TAIWAN SEMICONDUCTOR N | 874038100 | X | | | 11/18/2016 | 8/9/2017 | 3,308 | 2,888 | | | | | 420 |
| 8 TAIWAN SEMICONDUCTOR N | 874038100 | X | | | 11/18/2016 | 8/9/2017 | 1,428 | 1,184 | | | | | 244 |
| 9 TAIWAN SEMICONDUCTOR N | 874038100 | X | | | 11/18/2016 | 7/8/2017 | 3,128 | 2,598 | | | | | 530 |
| 10 TAIWAN SEMICONDUCTOR N | 874038100 | X | | | 8/20/2018 | 7/8/2017 | 1,138 | 1,113 | | | | | 25 |
| 11 F.N.M.A. BH3154 4.0% 7/1 | 3140GRQ32 | X | | | 11/8/2017 | 12/28/2017 | 285 | 307 | | | | | -22 |
| 12 F.N.M.A. BH3154 4.0% 7/1 | 3140GRQ32 | X | | | 11/8/2017 | 12/28/2017 | 248 | 267 | | | | | -19 |
| 13 FNMA POOL #BH5017 3.0% | 3149G18F8 | X | | | 10/3/2017 | 11/29/2017 | 24 | 24 | | | | | 0 |
| 14 FNMA POOL #BH5017 3.0% | 3149G18F8 | X | | | 10/3/2017 | 12/28/2017 | 28 | 28 | | | | | 0 |
| 15 FNMA POOL #BH5017 3.0% | 3149G18F8 | X | | | 10/3/2017 | 12/28/2017 | 25 | 25 | | | | | 0 |
| 16 FNMA POOL #MA2870 3.0% | 314188806 | X | | | 11/8/2017 | 12/28/2017 | 248 | 248 | | | | | 0 |
| 17 FNMA POOL #MA2870 3.0% | 314188806 | X | | | 11/8/2017 | 12/28/2017 | 248 | 248 | | | | | 0 |
| 18 FNMA POOL #MA2708 3.0% | 31418CAF1 | X | | | 11/19/2017 | 12/28/2017 | 242 | 244 | | | | | -2 |
| 19 FNMA POOL #MA2708 3.0% | 31418CAF1 | X | | | 11/19/2017 | 12/28/2017 | 224 | 228 | | | | | -4 |
| 20 FNMA POOL #MA2737 3.0% | 31418CAF1 | X | | | 11/19/2017 | 12/28/2017 | 253 | 253 | | | | | 0 |
| 21 FNMA POOL #MA2737 3.0% | 31418CAF1 | X | | | 11/19/2017 | 12/28/2017 | 297 | 297 | | | | | 0 |
| 22 FNMA MA2737 3.0% 1/9 | 31418CAF1 | X | | | 11/19/2017 | 12/28/2017 | 297 | 297 | | | | | 0 |
| 23 F.N.M.A. POOL #MA2008 3.5% | 31418CL07 | X | | | 12/13/2017 | 12/28/2017 | 127 | 127 | | | | | 0 |
| 24 F.N.M.A. POOL #MA2008 3.5% | 31418CL07 | X | | | 12/13/2017 | 12/28/2017 | 105 | 105 | | | | | 0 |
| 25 F.N.M.A. POOL #MA2008 3.5% | 31418CL07 | X | | | 11/28/2017 | 12/28/2017 | 127 | 132 | | | | | -5 |
| 26 F.N.M.A. POOL #MA2008 4.0% | 31418CL08 | X | | | 11/28/2017 | 12/28/2017 | 105 | 109 | | | | | -4 |
| 27 TESARO INC | 881598102 | X | | | 1/31/2017 | 11/15/2017 | 23,785 | 24,978 | | | | | -1,193 |
| 28 TIME WARNER INC | 881598102 | X | | | 1/31/2017 | 11/15/2017 | 4,213 | 5,848 | | | | | -1,635 |
| 29 TIME WARNER INC | 881598102 | X | | | 1/15/2018 | 2/19/2017 | 12,795 | 9,972 | | | | | 2,823 |
| 30 TIME WARNER INC | 881598102 | X | | | 1/15/2018 | 3/11/2017 | 9,838 | 8,878 | | | | | 960 |
| 31 TIME WARNER INC | 881598102 | X | | | 1/15/2018 | 3/29/2017 | 3,864 | 2,792 | | | | | 1,072 |
| 32 TWENTY-FIRST CENTURY FC | 901304101 | X | | | 1/15/2018 | 11/8/2017 | 4,575 | 3,488 | | | | | 1,087 |
| 33 UNITED STATES TREAS. 1.1 | 912828H83 | X | | | 3/17/2017 | 12/15/2017 | 5,285 | 4,649 | | | | | 636 |
| 34 UNITED STATES TREAS. 1.1 | 912828H83 | X | | | 8/1/2017 | 9/18/2017 | 24,806 | 24,844 | | | | | -38 |
| 35 UNITED TECHNOLOGIES CO | 913011108 | X | | | 8/1/2017 | 10/11/2017 | 28,878 | 28,933 | | | | | -55 |
| 36 UNIVERSAL HEALTH SERVIC | 913003100 | X | | | 1/15/2018 | 1/13/2017 | 22,028 | 17,215 | | | | | 4,813 |
| 37 UNIVERSAL HEALTH SERVIC | 913003100 | X | | | 1/15/2018 | 8/18/2017 | 4,284 | 4,063 | | | | | 221 |
| 38 VERIZON COMMUNICATIONS | 92343V104 | X | | | 1/15/2018 | 8/18/2017 | 8,482 | 8,458 | | | | | 24 |
| 39 VOYA FINANCIAL INC | 929089102 | X | | | 1/15/2018 | 5/4/2017 | 9,422 | 8,217 | | | | | 1,205 |
| 40 WELLS FARGO & COMPANY | 948748101 | X | | | 1/15/2018 | 11/13/2017 | 9,960 | 9,221 | | | | | 739 |
| 41 WELLS FARGO & COMPANY | 948748101 | X | | | 1/24/2017 | 9/22/2017 | 1,884 | 1,850 | | | | | 34 |
| 42 WELLS FARGO & COMPANY | 948748101 | X | | | 1/15/2018 | 9/22/2017 | 2,112 | 1,872 | | | | | 240 |
| 43 WELLS FARGO & COMPANY | 948748101 | X | | | 1/15/2018 | 7/11/2017 | 15,412 | 13,805 | | | | | 1,607 |
| 44 YUM! BRANDS INC | 988498101 | X | | | 1/15/2018 | 10/15/2017 | 20,428 | 20,322 | | | | | 106 |
| 45 ZIMMER BIOMET HOLDINGS | 988585102 | X | | | 1/15/2018 | 4/6/2017 | 844 | 499 | | | | | 345 |
| 46 ZIMMER BIOMET HOLDINGS | 988585102 | X | | | 9/28/2016 | 8/19/2017 | 8,935 | 11,000 | | | | | -2,065 |
| 47 AGCENTURE PLC-CLA | 011515101 | X | | | 9/27/2016 | 8/19/2017 | 3,291 | 3,587 | | | | | -296 |
| 48 AGCENTURE PLC-CLA | 011515101 | X | | | 3/17/2017 | 8/9/2017 | 2,250 | 2,974 | | | | | -724 |
| 49 AGCENTURE PLC-CLA | 011515101 | X | | | 3/17/2017 | 8/9/2017 | 2,267 | 2,249 | | | | | 18 |
| 50 AGCENTURE PLC-CLA | 011515101 | X | | | 3/17/2017 | 8/21/2017 | 3,171 | 3,124 | | | | | 47 |
| 51 AGCENTURE PLC-CLA | 011515101 | X | | | 3/17/2017 | 8/21/2017 | 2,330 | 2,374 | | | | | -44 |
| 52 AGCENTURE PLC-CLA | 011515101 | X | | | 11/28/2018 | 9/28/2017 | 3,879 | 3,935 | | | | | -56 |
| 53 AGCENTURE PLC-CLA | 011515101 | X | | | 1/15/2018 | 9/28/2017 | 7,818 | 8,241 | | | | | -423 |
| 54 AT&T INC | 002088102 | X | | | 11/28/2018 | 9/28/2017 | 880 | 848 | | | | | 32 |
| 55 AT&T INC | 002088102 | X | | | 1/15/2018 | 8/8/2017 | 773 | 988 | | | | | -215 |
| 56 AT&T INC | 002088102 | X | | | 9/8/2018 | 8/8/2017 | 7,702 | 7,872 | | | | | -170 |
| 57 ABBOTT LABORATORIES | 002824100 | X | | | 1/15/2018 | 9/9/2017 | 1,629 | 1,719 | | | | | -90 |
| 58 DREYFUS GLOBAL REAL RE | 007599120 | X | | | 1/15/2018 | 8/15/2017 | 3,811 | 3,922 | | | | | -111 |
| 59 DREYFUS GLOBAL REAL RE | 007599120 | X | | | 1/15/2018 | 10/9/2017 | 308,690 | 300,000 | | | | | 8,690 |
| 60 DREYFUS GLOBAL REAL RE | 007599120 | X | | | 3/21/2018 | 10/9/2017 | 117,877 | 117,812 | | | | | 65 |
| 61 ADVANTAGE DYN TOTAL RE | 007599148 | X | | | 1/15/2018 | 10/9/2017 | 299,773 | 250,000 | | | | | 49,773 |
| 62 ADVANTAGE DYN TOTAL RE | 007599148 | X | | | 7/18/2018 | 10/9/2017 | 208,881 | 200,000 | | | | | 8,881 |
| 63 DREYFUS OPPORT SM CAP | 007599878 | X | | | 1/15/2018 | 8/13/2017 | 483,152 | 350,000 | | | | | 133,152 |
| 64 DREYFUS OPPORT SM CAP | 007599878 | X | | | 3/1/2018 | 8/13/2017 | 21,941 | 18,884 | | | | | 3,057 |
| 65 AGILENT TECHNOLOGIES INC | 008488101 | X | | | 1/15/2018 | 9/24/2017 | 1,788 | 1,788 | | | | | 0 |
| 66 AGILENT TECHNOLOGIES INC | 008488101 | X | | | 8/7/2017 | 12/8/2017 | 4,027 | 3,829 | | | | | 198 |
| 67 GNMA MA4719 3.5% 9 | 381781G41 | X | | | 9/18/2017 | 12/29/2017 | 84 | 87 | | | | | -3 |
| 68 GNMA MA4719 3.5% 9 | 381781G41 | X | | | 9/18/2017 | 12/29/2017 | 50 | 50 | | | | | 0 |
| 69 GNMA MA4719 3.5% 9 | 381781G41 | X | | | 9/18/2017 | 12/29/2017 | 125 | 131 | | | | | -6 |
| 70 GNMA MA4719 3.5% 9 | 381781G41 | X | | | 9/18/2017 | 12/29/2017 | 78 | 78 | | | | | 0 |
| 71 GNMA II POOL # MA4779 4.0% | 381781J72 | X | | | 11/10/2017 | 12/29/2017 | 218 | 218 | | | | | 0 |
| 72 GNMA II POOL # MA4779 4.0% | 381781J72 | X | | | 11/10/2017 | 12/29/2017 | 384 | 414 | | | | | -30 |
| 73 GENERAL ELECTRIC CO | 389904102 | X | | | 1/24/2018 | 8/15/2017 | 17,051 | 16,811 | | | | | 240 |
| 74 HANTECO FIN. SVCS GROU | 418818104 | X | | | 12/12/2018 | 11/20/2017 | 2,785 | 2,424 | | | | | 361 |

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Part I, Line 8 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Long Term CG Distributions | | Amount | | Totals | | Gross Sales | | Cost or Other Basis, Expenses, Depreciation and Adjustments | | Net Gain or Loss | | | | |
|---------------------------------|-----------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|------------|---|---------------------|------------------|--|--------------|-------------|------------------|
| Short Term CG Distributions | | 3,919 | | Capital Gains/Losses | | 22,432,391 | | 20,539,002 | | 1,893 | | | | |
| | | 0 | | Other sales | | 0 | | 0 | | 0 | | | | |
| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
| 73. HARTFORD FIN SVCS GRO | 419918104 | X | | | | 10/4/2016 | 11/20/2017 | 2,795 | 2,179 | | | | 0 | 616 |
| 74. HOME DEPOT INC | 497028102 | X | | | | 1/19/2016 | 8/14/2017 | 5,119 | 3,779 | | | | 0 | 1,340 |
| 75. INCYTE CORPORATION | 453375102 | X | | | | 12/8/2016 | 8/11/2017 | 2,484 | 2,059 | | | | 0 | 425 |
| 76. INCYTE CORPORATION | 453375102 | X | | | | 10/9/2016 | 8/11/2017 | 1,118 | 848 | | | | 0 | 270 |
| 77. INTEL CORP | 458140100 | X | | | | 8/23/2016 | 1/29/2017 | 4,902 | 4,289 | | | | 0 | 613 |
| 78. INTEL CORP | 458140100 | X | | | | 8/23/2016 | 2/19/2017 | 3,673 | 3,829 | | | | 0 | -156 |
| 79. EATON CORP PLC | 629183103 | X | | | | 11/9/2016 | 8/8/2017 | 12,465 | 7,346 | | | | 0 | 5,119 |
| 80. BROADCOM LTD | Y08827109 | X | | | | 2/9/2016 | 9/17/2017 | 3,170 | 2,359 | | | | 0 | 811 |
| 81. BROADCOM LTD | Y08827109 | X | | | | 12/2/2016 | 3/17/2017 | 3,106 | 2,297 | | | | 0 | 809 |
| 82. BROADCOM LTD | Y08827109 | X | | | | 11/9/2016 | 3/17/2017 | 4,897 | 2,584 | | | | 0 | 2,313 |
| 83. BROADCOM LTD | Y08827109 | X | | | | 1/15/2016 | 4/5/2017 | 2,201 | 1,230 | | | | 0 | 971 |
| 84. BROADCOM LTD | Y08827109 | X | | | | 7/28/2017 | 10/17/2017 | 3,847 | 3,813 | | | | 0 | 34 |
| 85. BROADCOM LTD | Y08827109 | X | | | | 1/15/2016 | 10/17/2017 | 4,885 | 2,481 | | | | 0 | 2,404 |
| 86. BROADCOM LTD | Y08827109 | X | | | | 11/9/2016 | 10/29/2017 | 2,635 | 1,477 | | | | 0 | 1,158 |
| 87. F N M A POOL #MA3096 4.5% | 314185106 | X | | | | 11/19/2017 | 11/7/2017 | 4,849 | 2,218 | | | | 0 | 2,631 |
| 88. FNMA MA3078 3.0% 7/1 | 314185M43 | X | | | | 10/2/2017 | 11/25/2017 | 504 | 549 | | | | 0 | -45 |
| 89. FNMA MA3078 3.0% 7/1 | 314185M43 | X | | | | 10/2/2017 | 11/25/2017 | 299 | 299 | | | | 0 | 0 |
| 90. FNMA MA3078 3.0% 7/1 | 314185M43 | X | | | | 10/2/2017 | 12/29/2017 | 144 | 147 | | | | 0 | -3 |
| 91. FNMA MA3078 3.0% 7/1 | 314185M43 | X | | | | 10/2/2017 | 1/25/2018 | 236 | 245 | | | | 0 | -9 |
| 92. FNMA POOL # MA3149 4.0% | 314185Q83 | X | | | | 10/2/2017 | 11/25/2017 | 30 | 30 | | | | 0 | 0 |
| 93. FNMA POOL # MA3149 4.0% | 314185Q83 | X | | | | 9/29/2017 | 11/25/2017 | 182 | 182 | | | | 0 | 0 |
| 94. FNMA POOL # MA3149 4.0% | 314185Q83 | X | | | | 10/2/2017 | 12/25/2017 | 24 | 24 | | | | 0 | 0 |
| 95. FNMA POOL # MA3149 4.0% | 314185Q83 | X | | | | 9/29/2017 | 12/25/2017 | 145 | 154 | | | | 0 | -9 |
| 96. FNMA POOL # MA3149 4.0% | 314185Q83 | X | | | | 10/2/2017 | 1/25/2018 | 39 | 41 | | | | 0 | -2 |
| 97. FNMA POOL # MA3149 4.0% | 314185Q83 | X | | | | 9/29/2017 | 1/25/2018 | 235 | 248 | | | | 0 | -13 |
| 98. FNMA MA3183 4.0% 10/1 | 314185Q64 | X | | | | 10/4/2017 | 11/25/2017 | 149 | 149 | | | | 0 | 0 |
| 99. FNMA MA3183 4.0% 10/1 | 314185Q64 | X | | | | 10/4/2017 | 12/25/2017 | 149 | 149 | | | | 0 | 0 |
| 100. FNMA MA3183 4.0% 10/1 | 314185Q64 | X | | | | 10/4/2017 | 1/25/2018 | 191 | 191 | | | | 0 | 0 |
| 101. FNMA MA3183 4.0% 10/1 | 314185Q64 | X | | | | 9/22/2017 | 11/25/2017 | 379 | 367 | | | | 0 | 12 |
| 102. FNMA MA3183 4.0% 10/1 | 314185Q64 | X | | | | 10/2/2017 | 11/25/2017 | 47 | 47 | | | | 0 | 0 |
| 103. FNMA MA3183 4.0% 10/1 | 314185Q64 | X | | | | 9/22/2017 | 12/25/2017 | 365 | 373 | | | | 0 | -8 |
| 104. FNMA MA3183 4.0% 10/1 | 314185Q64 | X | | | | 10/2/2017 | 12/25/2017 | 48 | 47 | | | | 0 | 1 |
| 105. INTEL CORP | 458140100 | X | | | | 8/24/2017 | 1/25/2018 | 369 | 369 | | | | 0 | 0 |
| 106. ALPHABET INC/CA-CL A | 000796306 | X | | | | 8/24/2016 | 3/15/2017 | 5,938 | 5,427 | | | | 0 | 511 |
| 107. ALPHABET INC/CA-CL A | 000796306 | X | | | | 11/9/2016 | 1/25/2017 | 3,234 | 2,864 | | | | 0 | 370 |
| 108. AMERICAN TOWER CORP | 030273100 | X | | | | 1/15/2016 | 12/11/2017 | 10,730 | 7,211 | | | | 0 | 3,519 |
| 109. APPLE INC | 037833100 | X | | | | 1/15/2016 | 9/21/2017 | 13,836 | 9,640 | | | | 0 | 4,196 |
| 110. APPLE INC | 037833100 | X | | | | 3/13/2017 | 8/8/2017 | 3,412 | 3,052 | | | | 0 | 360 |
| 111. APPLE INC | 037833100 | X | | | | 3/13/2017 | 9/19/2017 | 1,570 | 1,521 | | | | 0 | 49 |
| 112. APPLE INC | 037833100 | X | | | | 3/13/2017 | 9/21/2017 | 1,955 | 1,531 | | | | 0 | 424 |
| 113. BNY MELLON INCOME STOC | 05599M301 | X | | | | 12/29/2016 | 9/11/2017 | 2,054,200 | 1,900,000 | | | | 0 | 154,200 |
| 114. BNY MELLON CORP BOND-M | 05599M399 | X | | | | 3/21/2016 | 9/11/2017 | 90,000 | 90,000 | | | | 0 | 0 |
| 115. BNY MELLON CORP BOND-M | 05599M399 | X | | | | 7/18/2016 | 9/11/2017 | 36,131 | 36,057 | | | | 0 | 74 |
| 116. BNY MELLON CORP BOND-M | 05599M399 | X | | | | 12/29/2016 | 9/11/2017 | 3,136 | 2,977 | | | | 0 | 159 |
| 117. BNY MELLON CORP BOND-M | 05599M399 | X | | | | 12/29/2016 | 9/7/2017 | 209,000 | 188,663 | | | | 0 | 12,337 |
| 118. BNY MELLON CORP BOND-M | 05599M399 | X | | | | 12/29/2016 | 9/11/2017 | 250,000 | 228,881 | | | | 0 | 21,119 |
| 119. BNY MELLON CORP BOND-M | 05599M399 | X | | | | 12/29/2016 | 9/20/2017 | 100,000 | 84,839 | | | | 0 | 15,161 |
| 120. BNY MELLON CORP BOND-M | 05599M399 | X | | | | 12/29/2016 | 10/5/2017 | 100,000 | 95,064 | | | | 0 | 4,936 |
| 121. BNY MELLON CORP BOND-M | 05599M399 | X | | | | 12/29/2016 | 10/9/2017 | 300,856 | 288,265 | | | | 0 | 12,591 |
| 122. BNY MELLON CORP BOND-M | 05599M399 | X | | | | 12/29/2016 | 10/9/2017 | 300,856 | 288,265 | | | | 0 | 12,591 |
| 123. BNY MELLON INTL EQUITY P | 05599M384 | X | | | | 1/8/2016 | 9/13/2017 | 701,972 | 588,189 | | | | 0 | 113,783 |
| 124. ALEXION PHARMACEUTICAL | 015351109 | X | | | | 11/9/2016 | 9/11/2017 | 3,567 | 3,988 | | | | 0 | -421 |
| 125. ALEXION PHARMACEUTICAL | 015351109 | X | | | | 11/9/2016 | 10/24/2017 | 3,198 | 3,142 | | | | 0 | 56 |
| 126. BNY MELLON INTL EQUITY P | 05599M384 | X | | | | 1/8/2016 | 9/11/2017 | 200,000 | 201,395 | | | | 0 | -1,395 |
| 127. BNY MELLON INTL EQUITY P | 05599M384 | X | | | | 1/8/2016 | 9/5/2017 | 63,450 | 63,274 | | | | 0 | 176 |
| 128. BNY MELLON INTL EQUITY P | 05599M384 | X | | | | 12/29/2016 | 9/11/2017 | 200,000 | 198,410 | | | | 0 | 1,590 |
| 129. BNY MELLON INTERMEDIATE | 05599M814 | X | | | | 12/29/2016 | 9/7/2017 | 200,000 | 187,781 | | | | 0 | 112,219 |
| 130. BNY MELLON INTERMEDIATE | 05599M814 | X | | | | 12/29/2016 | 9/18/2017 | 100,000 | 89,284 | | | | 0 | 10,716 |
| 131. BNY MELLON INTERMEDIATE | 05599M814 | X | | | | 12/29/2016 | 9/20/2017 | 100,000 | 89,283 | | | | 0 | 10,717 |
| 132. FNMA MA3184 4.5% 11/1 | 314185R66 | X | | | | 10/2/2017 | 1/25/2018 | 45 | 49 | | | | 0 | -4 |
| 133. FNMA MA3184 4.5% 11/1 | 314185R66 | X | | | | 11/2/2017 | 1/25/2018 | 184 | 209 | | | | 0 | -25 |
| 134. BROADCOM LTD | Y08827109 | X | | | | 11/9/2016 | 12/14/2017 | 4,842 | 2,218 | | | | 0 | 2,624 |
| 135. GNMA II POOL # MA4598 4.5% | 361797528 | X | | | | 9/18/2017 | 10/29/2017 | 129 | 129 | | | | 0 | 0 |
| 136. GNMA II POOL # MA4598 4.5% | 361797528 | X | | | | 9/18/2017 | 11/30/2017 | 181 | 173 | | | | 0 | 8 |
| 137. GNMA II POOL # MA4598 4.5% | 361797528 | X | | | | 9/18/2017 | 12/28/2017 | 159 | 181 | | | | 0 | -22 |
| 138. GNMA II POOL # MA4598 4.5% | 361797528 | X | | | | 9/18/2017 | 1/29/2018 | 337 | 393 | | | | 0 | -56 |
| 139. GNMA MA4718 3.0% 9/1 | 361797533 | X | | | | 9/18/2017 | 10/29/2017 | 75 | 75 | | | | 0 | 0 |
| 140. GNMA MA4718 3.0% 9/1 | 361797533 | X | | | | 9/18/2017 | 10/29/2017 | 75 | 75 | | | | 0 | 0 |
| 141. GNMA MA4718 3.0% 9/1 | 361797533 | X | | | | 9/18/2017 | 11/29/2017 | 89 | 90 | | | | 0 | -1 |
| 142. GNMA MA4718 3.0% 9/1 | 361797533 | X | | | | 9/18/2017 | 11/29/2017 | 88 | 90 | | | | 0 | -2 |
| 143. GNMA MA4718 3.0% 9/1 | 361797533 | X | | | | 10/2/2017 | 11/29/2017 | 13 | 13 | | | | 0 | 0 |

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Long Term CG Distributions | | Amount | | Totals | | Gross Sales | | Cost or Other Basis, Expenses, Depreciation and Adjustments | | Net Gain or Loss | | | |
|--------------------------------|-----------|---------------------------------|--------------------------------------|----------------------|---------------|-------------|-------------------|---|------------------|--|--------------|-------------|------------------|
| Short Term CG Distributions | | 3,819 | | Capital Gains/Losses | | 22,432,391 | | 20,539,000 | | 1,893,3 | | | |
| | | 0 | | Other sales | | 0 | | 0 | | 0 | | | |
| Description | CUSIP # | Check "X" to include in Part IV | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
| 148 GHMA MA4718 3.0% 9 | 361787G33 | X | | | 8/19/2017 | 12/29/2017 | 102 | 103 | | | | | 0 |
| 148 GHMA MA4718 3.0% 9 | 361787G33 | X | | | 8/19/2017 | 12/29/2017 | 102 | 104 | | | | | 0 |
| 147 GHMA MA4718 3.0% 9 | 361787G33 | X | | | 10/2/2017 | 12/29/2017 | 15 | 18 | | | | | 0 |
| 148 GHMA MA4718 3.0% 9 | 361787G33 | X | | | 8/19/2017 | 12/29/2017 | 144 | 149 | | | | | 0 |
| 148 GHMA MA4718 3.0% 9 | 361787G33 | X | | | 8/19/2017 | 12/29/2017 | 144 | 149 | | | | | 0 |
| 148 GHMA MA4718 3.0% 9 | 361787G33 | X | | | 10/2/2017 | 12/29/2017 | 21 | 21 | | | | | 0 |
| 151 GHMA MA4719 3.5% 9 | 361787G41 | X | | | 8/19/2017 | 10/20/2017 | 54 | 50 | | | | | 0 |
| 151 GHMA MA4719 3.5% 9 | 361787G41 | X | | | 8/19/2017 | 10/20/2017 | 32 | 34 | | | | | 0 |
| 152 GHMA MA4719 3.5% 9 | 361787G41 | X | | | 8/19/2017 | 11/29/2017 | 75 | 73 | | | | | 0 |
| 154 GHMA MA4719 3.5% 9 | 361787G41 | X | | | 8/19/2017 | 11/29/2017 | 42 | 44 | | | | | 0 |
| 158 BNY MELLON INTERMEDIATE | 055999M14 | X | | | 12/23/2015 | 8/29/2017 | 100,000 | 98,522 | | | | | 0 |
| 158 BNY MELLON INTERMEDIATE | 055999M14 | X | | | 12/23/2015 | 10/9/2017 | 904,012 | 901,000 | | | | | 0 |
| 157 BNY MELLON INTERMEDIATE | 055999M14 | X | | | 7/18/2016 | 10/9/2017 | 29,528 | 30,024 | | | | | 0 |
| 158 BNY MELLON INTERMEDIATE | 055999M14 | X | | | 12/23/2015 | 10/9/2017 | 305,302 | 304,040 | | | | | 0 |
| 158 BNY MELLON BOND FUND-M | 055999M30 | X | | | 7/18/2016 | 8/1/2017 | 112,299 | 115,019 | | | | | 0 |
| 158 BNY MELLON BOND FUND-M | 055999M30 | X | | | 12/23/2015 | 8/1/2017 | 187,791 | 185,848 | | | | | 0 |
| 161 BNY MELLON BOND FUND-M | 055999M30 | X | | | 12/23/2015 | 9/7/2017 | 300,000 | 298,334 | | | | | 0 |
| 162 BNY MELLON BOND FUND-M | 055999M30 | X | | | 12/23/2015 | 9/11/2017 | 400,000 | 395,318 | | | | | 0 |
| 164 BNY MELLON BOND FUND-M | 055999M30 | X | | | 12/23/2015 | 9/18/2017 | 200,000 | 197,867 | | | | | 0 |
| 164 BNY MELLON BOND FUND-M | 055999M30 | X | | | 12/23/2015 | 9/20/2017 | 200,000 | 198,122 | | | | | 0 |
| 164 BNY MELLON BOND FUND-M | 055999M30 | X | | | 12/23/2015 | 10/5/2017 | 100,000 | 99,294 | | | | | 0 |
| 167 BIOMARIN PHARMACEUTICA | 090810101 | X | | | 10/18/2017 | 11/30/2017 | 16,190 | 16,787 | | | | | 0 |
| 168 C.Y.S. HEALTH CORP. 4.875 | 128950C40 | X | | | 10/18/2017 | 12/12/2017 | 5,508 | 5,589 | | | | | 0 |
| 169 C.Y.S. HEALTH CORP. 4.875 | 128950C40 | X | | | 10/18/2017 | 12/12/2017 | 5,508 | 5,589 | | | | | 0 |
| 170 CITIZENS FINANCIAL GROUP | 174810105 | X | | | 1/18/2018 | 1/24/2017 | 8,421 | 8,783 | | | | | 0 |
| 171 CITIZENS FINANCIAL GROUP | 174810105 | X | | | 1/18/2018 | 11/29/2017 | 2,280 | 1,337 | | | | | 0 |
| 172 CITIZENS FINANCIAL GROUP | 174810105 | X | | | 12/29/2018 | 11/29/2017 | 2,299 | 1,294 | | | | | 0 |
| 172 CITIZENS FINANCIAL GROUP | 174810105 | X | | | 12/29/2018 | 11/21/2017 | 9,067 | 9,178 | | | | | 0 |
| 174 CITIZENS FINANCIAL GROUP | 174810105 | X | | | 12/29/2018 | 11/21/2017 | 3,411 | 1,812 | | | | | 0 |
| 178 COMCAST CORP CL A | 20030N101 | X | | | 8/11/2017 | 9/11/2017 | 3,384 | 3,338 | | | | | 0 |
| 178 BNY MELLON BOND FUND-M | 055999M30 | X | | | 12/23/2015 | 10/6/2017 | 837,812 | 832,754 | | | | | 0 |
| 177 BNY MELLON INTERNATIONAL | 055999M71 | X | | | 12/23/2015 | 9/13/2017 | 873,085 | 781,063 | | | | | 0 |
| 178 BNY MELLON INTERNATIONAL | 055999M71 | X | | | 12/23/2015 | 9/13/2017 | 90,812 | 90,000 | | | | | 0 |
| 180 COMCAST CORP CL A | 20030N101 | X | | | 8/11/2017 | 9/11/2017 | 59,441 | 59,000 | | | | | 0 |
| 181 COMCAST CORP CL A | 20030N101 | X | | | 8/11/2017 | 10/13/2017 | 2,492 | 2,487 | | | | | 0 |
| 182 COMCAST CORP CL A | 20030N101 | X | | | 8/11/2017 | 10/13/2017 | 2,498 | 2,454 | | | | | 0 |
| 182 COMCAST CORP CL A | 20030N101 | X | | | 4/20/2017 | 10/13/2017 | 1,444 | 1,450 | | | | | 0 |
| 182 COMCAST CORP CL A | 20030N101 | X | | | 4/20/2017 | 10/13/2017 | 2,490 | 2,089 | | | | | 0 |
| 184 CREDIT SUISSE GRP FV 3 1/2 | 223433A47 | X | | | 10/11/2017 | 10/18/2017 | 40,819 | 40,855 | | | | | 0 |
| 188 DREYFUS BASIC 34P500 STG | 201878811 | X | | | 3/31/2018 | 9/13/2017 | 224,488 | 200,000 | | | | | 0 |
| 188 DREYFUS HIGH YIELD | 201890798 | X | | | 3/31/2018 | 10/9/2017 | 437,177 | 400,000 | | | | | 0 |
| 188 DREYFUS HIGH YIELD | 201890798 | X | | | 3/31/2018 | 10/9/2017 | 21,872 | 20,000 | | | | | 0 |
| 188 DREYFUS GLOBAL REAL ES | 201898277 | X | | | 1/6/2018 | 10/9/2017 | 371,772 | 350,000 | | | | | 0 |
| 188 DREYFUS GLOBAL REAL ES | 201898277 | X | | | 3/31/2018 | 10/9/2017 | 14,950 | 15,000 | | | | | 0 |
| 181 DREYFUS INTL SMALL CAP | 202017777 | X | | | 1/6/2018 | 9/13/2017 | 532,020 | 448,828 | | | | | 0 |
| 181 DREYFUS INTL SMALL CAP | 202017777 | X | | | 3/31/2018 | 9/13/2017 | 35,818 | 30,000 | | | | | 0 |
| 182 DREYFUS NEWTON INTERN | 252030695 | X | | | 1/6/2018 | 9/13/2017 | 305,832 | 288,802 | | | | | 0 |
| 182 DREYFUS NEWTON INTERN | 252030695 | X | | | 11/5/2018 | 10/19/2017 | 7,145 | 8,624 | | | | | 0 |
| 182 DREYFUS NEWTON INTERN | 252030695 | X | | | 12/29/2018 | 9/13/2017 | 34,832 | 30,000 | | | | | 0 |
| 182 DREYFUS MIDCAP INDEX | 252030103 | X | | | 12/23/2015 | 9/13/2017 | 1,838,578 | 1,620,888 | | | | | 0 |
| 182 DREYFUS MIDCAP INDEX | 252030103 | X | | | 12/23/2015 | 9/13/2017 | 412,224 | 325,000 | | | | | 0 |
| 182 DREYFUS MIDCAP INDEX | 252030103 | X | | | 3/31/2018 | 9/13/2017 | 187,847 | 180,000 | | | | | 0 |
| 188 ELECTRONIC ARTS INC | 205512109 | X | | | 2/19/2017 | 10/26/2017 | 3,120 | 2,328 | | | | | 0 |
| 188 ELECTRONIC ARTS INC | 205512109 | X | | | 2/19/2017 | 11/29/2017 | 1,368 | 1,120 | | | | | 0 |
| 202 ELECTRONIC ARTS INC | 205512109 | X | | | 12/29/2017 | 11/29/2017 | 3,334 | 2,824 | | | | | 0 |
| 201 FACEBOOK INC-A | 30303M102 | X | | | 1/15/2018 | 1/4/2017 | 3,148 | 2,853 | | | | | 0 |
| 202 DREYFUS SELECT MGR L/S | 201882809 | X | | | 1/6/2018 | 10/9/2017 | 391,544 | 378,000 | | | | | 0 |
| 202 DREYFUS SELECT MGR L/S | 201882809 | X | | | 3/31/2018 | 10/9/2017 | 158,855 | 150,000 | | | | | 0 |
| 204 DREYFUS ELGATING RATE M | 201848739 | X | | | 1/6/2018 | 10/9/2017 | 485,145 | 480,401 | | | | | 0 |
| 208 DREYFUS BASIC 34P500 STG | 201878811 | X | | | 12/23/2015 | 9/13/2017 | 2,325,024 | 2,000,000 | | | | | 0 |
| 208 DREYFUS BASIC 34P500 STG | 201878811 | X | | | 12/23/2015 | 9/13/2017 | 418,293 | 325,000 | | | | | 0 |
| 207 FHLMC GOLD 0007H 4.5 | 31282VLD0 | X | | | 11/15/2017 | 11/15/2018 | 281 | 281 | | | | | 0 |
| 208 FHLMC GOLD 01997 3.5 | 31282VLD0 | X | | | 12/11/2017 | 11/15/2018 | 129 | 129 | | | | | 0 |
| 208 FHLMC GOLD 0007H 4.5 | 31282VLD0 | X | | | 8/19/2017 | 11/15/2017 | 292 | 277 | | | | | 0 |
| 210 FHLMC GOLD 0007H 4.5 | 31282VLD0 | X | | | 8/19/2017 | 12/15/2017 | 205 | 218 | | | | | 0 |
| 211 FHLMC GOLD 0007H 4.5 | 31282VLD0 | X | | | 8/19/2017 | 11/15/2017 | 89 | 73 | | | | | 0 |
| 212 FHLMC GOLD 0007H 4.5 | 31282VLD0 | X | | | 8/22/2017 | 11/15/2017 | 81 | 109 | | | | | 0 |
| 214 FHLMC GOLD 0007H 4.5 | 31282VLD0 | X | | | 8/22/2017 | 12/15/2017 | 137 | 159 | | | | | 0 |
| 216 FHLMC GOLD 0007H 4.5 | 31282VLD0 | X | | | 11/15/2017 | 12/15/2017 | 99 | 79 | | | | | 0 |
| 216 FHLMC GOLD 0007H 4.5 | 31282VLD0 | X | | | 8/22/2017 | 11/15/2018 | 172 | 189 | | | | | 0 |
| 216 FHLMC GOLD 0007H 4.5 | 31282VLD0 | X | | | 11/15/2017 | 11/15/2018 | 89 | 84 | | | | | 0 |

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Long Term CG Distributions | | Amount | Totals | | Gross Sales | | Cost or Other Basis, Expenses, Depreciation and Adjustments | | Net Gain or Loss | | | | | |
|-------------------------------|------------|---------------------------------|----------------------|--------------------------------------|--------------------|---------------|---|-------------------|---------------------|------------------|--|--------------|-------------|------------------|
| Short Term CG Distributions | | 3,915 | Capital Gains/Losses | | 22,432,291 | | 20,539,002 | | 1,893 | | | | | |
| | | 0 | | | | | | | | | | | | |
| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
| 317 FHLHC GOLD Q43290 | 3132YVGT08 | X | | | | 10/19/2017 | 11/15/2017 | 220 | 220 | | | | | 0 |
| 318 FHLHC GOLD Q43290 | 3132YVGT08 | X | | | | 10/19/2017 | 12/15/2017 | 176 | 176 | | | | | 0 |
| 319 FHLHC GOLD Q43290 | 3132YVGT08 | X | | | | 10/19/2017 | 11/15/2017 | 231 | 230 | | | | | 0 |
| 320 F.G. Q50172 | 3132X80506 | X | | | | 10/19/2017 | 11/15/2017 | 440 | 440 | | | | | 0 |
| 321 F.G. Q50172 | 3132X80506 | X | | | | 10/19/2017 | 12/15/2017 | 158 | 158 | | | | | 0 |
| 322 F.G. Q50172 | 3132X80506 | X | | | | 10/19/2017 | 11/15/2017 | 189 | 189 | | | | | 0 |
| 323 FHLHC GOLD POOL Q50341 | 3132X81X08 | X | | | | 10/19/2017 | 11/15/2017 | 51 | 53 | | | | | 0 |
| 324 FHLHC GOLD POOL Q50341 | 3132X81X08 | X | | | | 10/19/2017 | 12/15/2017 | 305 | 313 | | | | | 0 |
| 325 FHLHC GOLD POOL Q50341 | 3132X81X08 | X | | | | 10/19/2017 | 11/15/2017 | 52 | 54 | | | | | 0 |
| 326 FHLHC Q50599 | 3132X8UP3 | X | | | | 9/21/2017 | 11/15/2017 | 114 | 119 | | | | | 0 |
| 327 FHLHC Q50599 | 3132X8UP3 | X | | | | 9/21/2017 | 11/15/2017 | 152 | 157 | | | | | 0 |
| 328 FHLHC Q50599 | 3132X8UP3 | X | | | | 9/21/2017 | 12/15/2017 | 87 | 80 | | | | | 0 |
| 329 FHLHC Q50599 | 3132X8UP3 | X | | | | 9/21/2017 | 11/15/2017 | 116 | 120 | | | | | 0 |
| 330 FHLHC Q50599 | 3132X8UP3 | X | | | | 9/21/2017 | 11/15/2017 | 343 | 355 | | | | | 0 |
| 331 FHLHC GOLD Q00773 | 3128MZF08 | X | | | | 10/2/2017 | 11/15/2017 | 99 | 89 | | | | | 0 |
| 332 FHLHC GOLD Q00773 | 3128MZF08 | X | | | | 10/2/2017 | 11/15/2017 | 79 | 79 | | | | | 0 |
| 333 FHLHC GOLD Q00773 | 3128MZF08 | X | | | | 10/2/2017 | 11/15/2017 | 79 | 79 | | | | | 0 |
| 334 FHLHC Q50599 | 3132X8UP3 | X | | | | 9/27/2017 | 11/15/2017 | 493 | 473 | | | | | 0 |
| 335 F.G. Q51006 | 3132XTE45 | X | | | | 10/2/2017 | 11/15/2017 | 9 | 9 | | | | | 0 |
| 336 F.G. Q51006 | 3132XTE45 | X | | | | 9/29/2017 | 11/15/2017 | 93 | 95 | | | | | 0 |
| 337 F.G. Q51006 | 3132XTE45 | X | | | | 10/2/2017 | 11/15/2017 | 9 | 9 | | | | | 0 |
| 338 F.G. Q51006 | 3132XTE45 | X | | | | 9/29/2017 | 12/15/2017 | 9 | 9 | | | | | 0 |
| 339 F.G. Q51006 | 3132XTE45 | X | | | | 9/29/2017 | 11/15/2017 | 93 | 95 | | | | | 0 |
| 340 F.G. Q51006 | 3132XTE45 | X | | | | 9/29/2017 | 11/15/2017 | 9 | 9 | | | | | 0 |
| 341 FHLHC GOLD Q52048 | 3132XUHY4 | X | | | | 11/14/2017 | 11/15/2017 | 101 | 104 | | | | | 0 |
| 342 FHLHC GOLD Q52048 | 3132XUHY4 | X | | | | 11/14/2017 | 11/15/2017 | 242 | 249 | | | | | 0 |
| 343 FHLHC GOLD Q52048 | 3132XUHY4 | X | | | | 11/2/2017 | 12/15/2017 | 340 | 359 | | | | | 0 |
| 344 FHLHC GOLD Q52048 | 3132XUHY4 | X | | | | 11/2/2017 | 11/15/2017 | 218 | 220 | | | | | 0 |
| 345 FHLHC GOLD Q52048 | 3132XUHY4 | X | | | | 10/27/2017 | 12/15/2017 | 348 | 375 | | | | | 0 |
| 346 F.H.L.M.C. Q00921 | 31305BAY08 | X | | | | 10/22/2017 | 11/15/2017 | 501 | 501 | | | | | 0 |
| 347 FNMA AL7359 | 3138E0E09 | X | | | | 10/20/2017 | 12/25/2017 | 401 | 422 | | | | | 0 |
| 348 FNMA AL7359 | 3138E0E09 | X | | | | 10/20/2017 | 11/25/2017 | 249 | 269 | | | | | 0 |
| 349 FNMA POOL # AL8987 3% 2/1 | 3138E0E07 | X | | | | 10/20/2017 | 11/25/2017 | 221 | 230 | | | | | 0 |
| 350 FNMA POOL # AL8987 3% 2/1 | 3138E0E07 | X | | | | 10/20/2017 | 12/25/2017 | 241 | 249 | | | | | 0 |
| 351 FNMA POOL # AL8987 3% 2/1 | 3138E0E07 | X | | | | 10/20/2017 | 11/25/2017 | 213 | 260 | | | | | 0 |
| 352 FNMA POOL # AL8987 3% 2/1 | 3138E0E07 | X | | | | 10/20/2017 | 11/25/2017 | 417 | 429 | | | | | 0 |
| 353 FNMA POOL # AL8987 3% 2/1 | 3138E0E07 | X | | | | 10/20/2017 | 12/25/2017 | 187 | 189 | | | | | 0 |
| 354 FNMA POOL # AL8987 3% 2/1 | 3138E0E07 | X | | | | 10/20/2017 | 11/25/2017 | 191 | 194 | | | | | 0 |
| 355 FNMA POOL # AL8987 3% 2/1 | 3138E0E07 | X | | | | 10/10/2017 | 11/25/2017 | 458 | 473 | | | | | 0 |
| 356 INTEL CORP | 458140100 | X | | | | 10/10/2017 | 12/25/2017 | 799 | 799 | | | | | 0 |
| 357 INTEL CORP | 458140100 | X | | | | 9/20/2018 | 3/13/2017 | 1,402 | 1,299 | | | | | 103 |
| 358 INTERCONTINENTAL EXCHA | 45909F104 | X | | | | 9/15/2017 | 7/25/2017 | 49,849 | 47,539 | | | | | 2,310 |
| 359 INTERCONTINENTAL EXCHA | 45909F104 | X | | | | 11/15/2018 | 7/11/2017 | 11,130 | 9,498 | | | | | 1,632 |
| 360 INTERCONTINENTAL EXCHA | 45909F104 | X | | | | 11/15/2018 | 9/30/2017 | 3,897 | 2,989 | | | | | 808 |
| 361 ISHARES CORE U.S. AGGREG | 464287220 | X | | | | 9/13/2017 | 12/18/2017 | 202,019 | 184,402 | | | | | 17,617 |
| 362 ISHARES CORE U.S. AGGREG | 464287220 | X | | | | 10/9/2017 | 10/13/2017 | 219,539 | 218,833 | | | | | 706 |
| 363 ISHARES CORE U.S. AGGREG | 464287220 | X | | | | 10/9/2017 | 10/13/2017 | 219,599 | 218,833 | | | | | 766 |
| 364 ISHARES CORE U.S. AGGREG | 464287220 | X | | | | 10/9/2017 | 10/24/2017 | 100,889 | 101,210 | | | | | -321 |
| 365 ISHARES CORE U.S. AGGREG | 464287220 | X | | | | 10/9/2017 | 11/9/2017 | 190,500 | 190,419 | | | | | 81 |
| 366 ISHARES CORE U.S. AGGREG | 464287220 | X | | | | 10/9/2017 | 11/10/2017 | 152,565 | 153,163 | | | | | -598 |
| 367 ISHARES CORE U.S. AGGREG | 464287220 | X | | | | 10/9/2017 | 11/13/2017 | 217,815 | 218,833 | | | | | -1,018 |
| 368 JOHNSON & JOHNSON | 478180104 | X | | | | 10/9/2017 | 11/15/2017 | 292,023 | 292,089 | | | | | -66 |
| 369 KILLY ELI & CO | 632457106 | X | | | | 11/9/2018 | 12/1/2017 | 15,899 | 13,573 | | | | | 2,326 |
| 370 KILLY ELI & CO | 632457106 | X | | | | 1/20/2018 | 9/7/2017 | 4,759 | 4,759 | | | | | 0 |
| 371 MERCK & CO INC | 58933Y105 | X | | | | 12/20/2018 | 10/20/2017 | 3,314 | 3,271 | | | | | 43 |
| 372 MERCK & CO INC | 58933Y105 | X | | | | 8/15/2018 | 10/25/2017 | 1,873 | 1,811 | | | | | 62 |
| 373 MICRON TECHNOLOGY INC | 58933Y105 | X | | | | 11/15/2018 | 10/25/2017 | 2,497 | 2,031 | | | | | 466 |
| 374 MICRON TECHNOLOGY INC | 58933Y105 | X | | | | 1/20/2018 | 9/13/2017 | 624 | 448 | | | | | 176 |
| 375 FNMA A89949 | 3138VWQ00 | X | | | | 12/7/2018 | 7/25/2017 | 1,494 | 1,494 | | | | | 0 |
| 376 FNMA POOL # A89451 3.5% 4 | 3138VWQ00 | X | | | | 10/10/2017 | 1/25/2018 | 419 | 430 | | | | | -11 |
| 377 FNMA POOL # A89451 3.5% 4 | 3138VWQ00 | X | | | | 10/10/2017 | 11/25/2017 | 182 | 189 | | | | | -7 |
| 378 FNMA POOL # A89451 3.5% 4 | 3138VWQ00 | X | | | | 10/10/2017 | 12/25/2017 | 199 | 204 | | | | | -5 |
| 379 FNMA POOL # A89451 3.5% 4 | 3138VWQ00 | X | | | | 10/10/2017 | 1/25/2018 | 187 | 204 | | | | | -17 |
| 380 FNMA POOL # B00895 | 3140F8UE4 | X | | | | 11/28/2017 | 12/25/2017 | 97 | 89 | | | | | 8 |
| 381 FNMA POOL # B01490 | 3140F8UE4 | X | | | | 11/28/2017 | 1/25/2018 | 102 | 100 | | | | | 2 |
| 382 FNMA POOL # B01490 | 3140F8UE4 | X | | | | 10/10/2017 | 11/13/2017 | 37,543 | 37,692 | | | | | -149 |
| 383 FNMA POOL # B01490 | 3140F8UE4 | X | | | | 10/10/2017 | 11/25/2017 | 873 | 999 | | | | | -1,126 |
| 384 FNMA POOL # B01490 | 3140F8UE4 | X | | | | 10/10/2017 | 12/25/2017 | 1,094 | 1,099 | | | | | -5 |
| 385 FNMA POOL # B03787 | 3140F8UE4 | X | | | | 10/10/2017 | 11/25/2017 | 282 | 271 | | | | | 11 |
| 386 FNMA POOL # B03787 | 3140F8UE4 | X | | | | 10/10/2017 | 12/25/2017 | 409 | 422 | | | | | -13 |
| 387 FNMA POOL # B03787 | 3140F8UE4 | X | | | | 10/10/2017 | 1/25/2018 | 289 | 308 | | | | | -119 |
| 388 FNMA BEP298 | 3140F8UE4 | X | | | | 11/8/2017 | 12/25/2017 | 41 | 43 | | | | | -2 |

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Amount | | Totals | | Gross Sales | | Cost or Other Basis, Expenses, Depreciation and Adjustments | | Net Gain or Loss | | | | | | |
|------------------------------|-----------|---------------------------------|-------------|--------------------------------------|--------------------|---|-----------|-------------------|---------------------|------------------|--|--------------|-------------|------------------|
| Long Term CG Distributions | 3,915 | Capital Gains/Losses | Other sales | 22,432,391 | | 20,536,002 | | 1,896 | | | | | | |
| Short Term CG Distributions | 0 | | | 0 | | 0 | | | | | | | | |
| Description | CUSIP # | Check "X" to Include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
| 289 FHMA BE999 4.0% 5/1 | 3140EYJ70 | X | | | | 11/8/2017 | 1/25/2018 | 109 | 109 | | | | | 0 |
| 290 MICRON TECHNOLOGY INC | 569112103 | X | | | | 11/14/2016 | 1/17/2017 | 7,895 | 4,802 | | | | | 3 |
| 291 MICRON TECHNOLOGY INC | 569112103 | X | | | | 11/14/2016 | 9/2/2017 | 7,486 | 4,729 | | | | | 0 |
| 292 MICRON TECHNOLOGY INC | 569112103 | X | | | | 11/14/2016 | 8/7/2017 | 1,129 | 788 | | | | | 0 |
| 293 MICRON TECHNOLOGY INC | 569112103 | X | | | | 11/11/2016 | 8/7/2017 | 3,387 | 2,111 | | | | | 0 |
| 294 MIKE INC CLASS B | 824108103 | X | | | | 7/19/2016 | 8/14/2017 | 534 | 576 | | | | | 1 |
| 295 MIKE INC CLASS B | 824108103 | X | | | | 10/15/2016 | 8/14/2017 | 9,800 | 10,356 | | | | | 0 |
| 296 NVIDIA CORP | 679682104 | X | | | | 11/11/2016 | 8/19/2017 | 1,270 | 782 | | | | | 0 |
| 297 PALO ALTO NETWORKS INC | 687438106 | X | | | | 11/15/2016 | 3/1/2017 | 7,892 | 10,828 | | | | | 0 |
| 298 PALO ALTO NETWORKS INC | 687438106 | X | | | | 9/6/2016 | 3/1/2017 | 814 | 937 | | | | | -2 |
| 299 PANERA BREAD CO CL A | 09840VY08 | X | | | | 4/20/2016 | 4/8/2017 | 5,529 | 4,149 | | | | | 0 |
| 300 PANERA BREAD CO CL A | 09840VY08 | X | | | | 4/19/2016 | 4/8/2017 | 524 | 434 | | | | | 1 |
| 301 PANERA BREAD CO CL A | 09840VY08 | X | | | | 4/19/2016 | 5/25/2017 | 9,897 | 4,799 | | | | | 0 |
| 302 PANERA BREAD CO CL A | 09840VY08 | X | | | | 5/9/2016 | 5/25/2017 | 941 | 950 | | | | | 2 |
| 303 PANERA BREAD CO CL A | 09840VY08 | X | | | | 5/9/2016 | 5/25/2017 | 4,389 | 3,031 | | | | | 0 |
| 304 PANERA BREAD CO CL A | 09840VY08 | X | | | | 4/19/2016 | 7/18/2017 | 3,495 | 2,319 | | | | | 0 |
| 305 PANERA BREAD CO CL A | 09840VY08 | X | | | | 5/9/2016 | 7/18/2017 | 945 | 950 | | | | | 1 |
| 306 PEPPER INC | 717081103 | X | | | | 1/15/2016 | 5/24/2017 | 12,170 | 11,635 | | | | | 0 |
| 307 PROCTER & GAMBLE CO | 742718109 | X | | | | 1/28/2016 | 5/12/2017 | 4,309 | 3,955 | | | | | 0 |
| 308 PROCTER & GAMBLE CO | 742718109 | X | | | | 1/15/2016 | 5/12/2017 | 9,473 | 9,224 | | | | | 0 |
| 309 QUALCOMM INC | 747528103 | X | | | | 10/14/2016 | 3/17/2017 | 2,317 | 2,903 | | | | | 1 |
| 310 QUALCOMM INC | 747528103 | X | | | | 10/14/2016 | 3/17/2017 | 2,899 | 3,496 | | | | | 0 |
| 311 QUALCOMM INC | 747528103 | X | | | | 8/2/2016 | 3/17/2017 | 1,189 | 1,237 | | | | | 0 |
| 312 QUALCOMM INC | 747528103 | X | | | | 8/2/2016 | 4/20/2017 | 4,195 | 5,028 | | | | | 0 |
| 313 QUALCOMM INC | 747528103 | X | | | | 8/2/2016 | 5/11/2017 | 3,818 | 4,588 | | | | | 0 |
| 314 QUALCOMM INC | 747528103 | X | | | | 8/2/2016 | 5/30/2017 | 3,441 | 3,737 | | | | | 0 |
| 315 QUALCOMM INC | 747528103 | X | | | | 9/8/2016 | 5/30/2017 | 2,899 | 3,083 | | | | | 0 |
| 316 DREYFUS SELECT HOER & CO | 882716229 | X | | | | 1/6/2016 | 9/13/2017 | 401,890 | 328,563 | | | | | 73 |
| 317 MICRON TECHNOLOGY INC | 569112103 | X | | | | 1/3/2017 | 7/23/2017 | 7,199 | 5,823 | | | | | 0 |

Part I, Line 16c (990-PF) - Other Professional Fees

| | | 93,261 | 25,238 | 0 | 68,022 |
|-------------|---------------------------------------|--------------------------------------|--------------------------|------------------------|--|
| Description | | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
| 1 | BNY MELLON INVESTMENT & ADVISORY FEES | 83,261 | 25,238 | | 58,022 |
| 2 | INDEPENDENT CONTRACTOR - BAILEY JEAN | 10,000 | | | 10,000 |

Part I, Line 18 (990-PF) - Taxes

| | | 61,934 | 7,514 | 0 | 0 |
|-------------|---------------------------|--------------------------------------|--------------------------|------------------------|---|
| Description | | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
| 1 | FOREIGN TAX WITHHELD | 7,514 | 7,514 | | |
| 2 | ESTIMATED EXCISE PAYMENTS | 54,420 | | | |

Part I, Line 23 (990-PF) - Other Expenses

| | | 17,759 | 1,178 | 0 | 16,581 |
|-------------|--------------------------------------|--------------------------------------|--------------------------|------------------------|---|
| Description | | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
| 1 | SUBSCRIPTION - FOUNDANT TECHNOLOGIES | 11,083 | 0 | | 11,083 |
| 2 | INSURANCE PREMIUM - FLEXI FIVE PLUS | 1,848 | 0 | | 1,848 |
| 3 | WEBSITE MAINTENANCE AND RE-DESIGN | 3,650 | 0 | | 3,650 |
| 4 | INVESTMENT EXPENSES | 1,178 | 1,178 | | |

Part II, Line 13 (990-PF) - Investments - Other

| | | 0 | 22,876,982 | 24,694,269 | |
|-------------------|------------------------------------|--------------------|-------------------------|------------------------|-----------------|
| Asset Description | | Basis of Valuation | Book Value Beg. of Year | Book Value End of Year | FMV End of Year |
| 1 | | | 0 | 0 | |
| 2 | HONEYWELL INTL INC | | 0 | 19,496 | 30,979 |
| 3 | ILLINOIS TOOL WORKS INC COM | | 0 | 21,245 | 43,381 |
| 4 | INCYTE GENOMICS INC | | 0 | 5,751 | 5,777 |
| 5 | INTERCONTINENTALEXCHANGE GRO | | 0 | 12,275 | 15,876 |
| 6 | ISHARES S&P 500 INDEX FUND | | 0 | 4,816,102 | 5,266,234 |
| 7 | ISHARES BARCLAYS AGGREGATE BOND | | 0 | 500,083 | 499,638 |
| 8 | ISHARES MSCI EAFE INDEX FD | | 0 | 2,374,484 | 2,507,958 |
| 9 | ISHARES TR S&P MIDCAP 400 INDEX FD | | 0 | 2,205,818 | 2,368,834 |
| 10 | ISHARES TR S & P SMALL CAP 600 | | 0 | 1,410,422 | 1,521,990 |
| 11 | ISHARES MSCI EAFE SMALL CAP | | 0 | 563,422 | 618,039 |
| 12 | J P MORGAN CHASE & CO | | 0 | 24,780 | 26,735 |
| 13 | JOHNSON & JOHNSON COM | | 0 | 17,117 | 17,884 |
| 14 | KIMCO RLTY CORP | | 0 | 4,261 | 4,174 |
| 15 | LAM RESH CORP COM | | 0 | 21,305 | 22,272 |
| 16 | ELI LILLY & CO COM | | 0 | 8,847 | 9,291 |
| 17 | MERCK & CO INC | | 0 | 11,679 | 12,942 |
| 18 | MICROSOFT CORP COM | | 0 | 6,741 | 11,120 |
| 19 | MICRON TECHNOLOGY | | 0 | 3,343 | 7,813 |
| 20 | MONDELEZ INTERNATIONAL INC | | 0 | 20,045 | 20,544 |
| 21 | MONSTER BEVERAGE CORP | | 0 | 21,420 | 28,860 |
| 22 | ASG MANAGED FUTURES STRAT-Y | | 0 | 920,000 | 928,297 |
| 23 | ASG GLOBAL ALTERNATIVES FUND-Y | | 0 | 968,898 | 1,020,687 |
| 24 | NEUBERGER BERMAN LONG SH-INS | | 0 | 450,000 | 463,282 |
| 25 | NIKE INC CL B | | 0 | 8,630 | 9,382 |
| 26 | NUCOR CORP | | 0 | 10,291 | 18,438 |
| 27 | NVIDIA CORP | | 0 | 16,620 | 44,698 |
| 28 | PEPSICO INC | | 0 | 28,307 | 35,976 |
| 29 | PFIZER INC COM | | 0 | 10,082 | 10,142 |
| 30 | PHILIP MORRIS INTERNATIONAL | | 0 | 17,757 | 21,130 |
| 31 | POWERSHARES SENIOR LOAN PORT | | 0 | 476,115 | 472,320 |
| 32 | PROCTER & GAMBLE CO COM | | 0 | 11,962 | 14,701 |
| 33 | PROSHARES LARGE CAP CORE PLU | | 0 | 100,082 | 105,815 |
| 34 | SPDR LEHMAN HIGH YIELD BOND | | 0 | 475,414 | 469,098 |
| 35 | SVB FINL GROUP | | 0 | 11,772 | 15,429 |
| 36 | SALESFORCE COM INC | | 0 | 16,650 | 23,513 |
| 37 | SCHWAB CHARLES CORP NEW | | 0 | 21,463 | 40,069 |
| 38 | SPLUNK INC | | 0 | 15,019 | 19,882 |
| 39 | STARBUCKS CORP COM | | 0 | 23,391 | 22,397 |
| 40 | SYNCHRONY FINANCIAL | | 0 | 13,589 | 18,919 |
| 41 | T-MOBILE US INC | | 0 | 19,523 | 19,053 |
| 42 | TAIWAN SEMICONDUCTOR ADR | | 0 | 12,973 | 17,842 |
| 43 | 3M CO | | 0 | 15,250 | 25,891 |
| 44 | TWENTY FIRST CENTURY FOX INC | | 0 | 13,848 | 15,538 |
| 45 | UNITED HEALTH GROUP INC | | 0 | 23,923 | 38,140 |
| 46 | VAN ECK CM COMMODITY INDX-I | | 0 | 275,000 | 305,010 |

Part II, Line 13 (990-PF) - Investments - Other

| | | | 0 | 22,876,982 | 24,694,269 |
|----|-------------------------------------|--------------------|-------------------------|------------------------|-----------------|
| | Asset Description | Basis of Valuation | Book Value Beg. of Year | Book Value End of Year | FMV End of Year |
| 47 | VANTIV INC | | 0 | 15,076 | 17,652 |
| 48 | VANGUARD INDEX TR | | 0 | 370,702 | 369,261 |
| 49 | VISA INC | | 0 | 19,800 | 30,785 |
| 50 | VIRTUS EMERGING MKTS OPPOR-I | | 0 | 391,345 | 544,010 |
| 51 | VOYA FINANCIAL INC | | 0 | 10,869 | 18,304 |
| 52 | YUM BRANDS INC | | 0 | 12,729 | 21,219 |
| 53 | A.B.B. FIN USA INC 2.875% 5/08/22 | | 0 | 41,077 | 40,669 |
| 54 | AT&T INC | | 0 | 48,052 | 47,466 |
| 55 | A T & T INC 3.9% 8/14/27 | | 0 | 25,258 | 25,167 |
| 56 | ABBVIE INC 2.9% 11/06/22 | | 0 | 30,471 | 30,087 |
| 57 | ADOBE SYSTEMS INC 3.25% 2/01/25 | | 0 | 31,016 | 30,809 |
| 58 | AERCAP IRELAND CAPITAL LTD / A | | 0 | 31,907 | 31,507 |
| 59 | ALEXANDRIA REAL ESTATE 4.3% 1/15/26 | | 0 | 26,791 | 26,184 |
| 60 | AMAZON.COM INC | | 0 | 45,778 | 44,977 |
| 61 | AMGEN INC 5.65% 6/15/42 | | 0 | 30,820 | 31,168 |
| 62 | ANHEUSER-BUSH INBEV FI 4.9% 2/01/46 | | 0 | 34,119 | 34,770 |
| 63 | APACHE CORP 3.25% 4/15/22 | | 0 | 25,208 | 25,189 |
| 64 | APPLE INC 4.375% 5/13/45 | | 0 | 32,692 | 33,797 |
| 65 | BANK OF AMERICA CORP 2.6% 1/15/19 | | 0 | 45,414 | 45,154 |
| 66 | BANK OF AMERICA CORP 3.95% 4/21/25 | | 0 | 46,401 | 46,535 |
| 67 | BARCLAYS PLC | | 0 | 21,508 | 21,327 |
| 68 | BIOGEN INC 2.9% 9/15/20 | | 0 | 30,673 | 30,409 |
| 69 | BOSTON PROPERTIES LP | | 0 | 20,964 | 20,903 |
| 70 | BURLINGTN NORTH SANTA 3.45% 9/15/2 | | 0 | 41,454 | 41,329 |
| 71 | CVS HEALTH CORP | | 0 | 11,198 | 11,070 |
| 72 | CELGENE CORP 2.875% 8/15/20 | | 0 | 25,680 | 25,233 |
| 73 | CHICAGO ILL 6.034% 1/01/42 | | 0 | 30,174 | 30,615 |
| 74 | CITIGROUP INC 4.45% 9/29/27 | | 0 | 74,248 | 74,109 |
| 75 | CITIZENS BANK NA/PROVIDENCE RI | | 0 | 25,024 | 24,908 |
| 76 | COMCAST CORP 2.75% 3/01/23 | | 0 | 50,682 | 50,240 |
| 77 | RABOBANK NEDERLAND | | 0 | 53,497 | 52,998 |
| 78 | CREDIT SUISSE GROUP FUNDING GU | | 0 | 41,718 | 41,262 |
| 79 | CUBESMART LP 4.8% 7/15/22 | | 0 | 32,264 | 32,123 |
| 80 | EBAY INC 2.6% 7/15/22 | | 0 | 45,261 | 44,594 |
| 81 | ENTERPRISE PRODUCTS 2.55% 10/15/19 | | 0 | 15,160 | 15,047 |
| 82 | EXELON CORP 3.4% 4/15/26 | | 0 | 25,378 | 25,008 |
| 83 | EXXON MOBIL CORP | | 0 | 25,093 | 24,942 |
| 84 | FHLMC GOLD G08773 3.0% 8/01/47 | | 0 | 19,694 | 19,589 |
| 85 | FHLMC GOLD G08794 4.5% 12/01/47 | | 0 | 42,597 | 42,357 |
| 86 | FHLMC GOLD G18667 3.5% 11/01/32 | | 0 | 20,608 | 20,503 |
| 87 | FHLMC GOLD POOL J15724 4.0% 6/01/26 | | 0 | 6,863 | 6,804 |
| 88 | FHLMC GOLD A92821 5.0% 7/01/40 | | 0 | 20,069 | 19,966 |
| 89 | FHLMC GOLD Q43259 3.5% 9/01/46 | | 0 | 32,849 | 32,554 |
| 90 | F G Q50123 4.0% 8/01/47 | | 0 | 25,803 | 25,443 |
| 91 | FHLMC GOLD POOL Q50341 3.5% 7/01/47 | | 0 | 35,438 | 35,119 |
| 92 | FHLMC Q50589 3.5% 9/01/47 | | 0 | 71,247 | 70,885 |

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Part II, Line 13 (990-PF) - Investments - Other

| | | 0 | 22,876,982 | 24,694,269 | |
|-----|-------------------------------------|--------------------|-------------------------|------------------------|-----------------|
| | Asset Description | Basis of Valuation | Book Value Beg. of Year | Book Value End of Year | FMV End of Year |
| 93 | FG Q51086 3.5% 10/01/47 | | 0 | 41,201 | 40,984 |
| 94 | FHLMC GOLD Q52046 3.5% 11/1/47 | | 0 | 25,630 | 25,674 |
| 95 | FHLMC GOLD Q52093 3.5% 11/1/47 | | 0 | 40,984 | 41,036 |
| 96 | FHLMC GOLD Q52104 4.0% 11/01/47 | | 0 | 36,506 | 36,248 |
| 97 | FHLMC G60921 4.5% 2/01/47 | | 0 | 39,789 | 39,535 |
| 98 | FNMA AL7359 4.0% 5/01/29 | | 0 | 17,240 | 17,082 |
| 99 | FNMA AL9867 3.0% 2/01/32 | | 0 | 23,359 | 23,065 |
| 100 | ARROW ELECTRONICS INC 3.5% 4/01/22 | | 0 | 35,636 | 35,469 |
| 101 | BP CAP MKTS P L C 4.75% 3/10/19 | | 0 | 26,125 | 25,776 |
| 102 | FNMA POOL AS7844 3.0% 9/01/46 | | 0 | 46,713 | 46,447 |
| 103 | F N M A AS8949 3.5% 3/01/47 | | 0 | 39,081 | 38,684 |
| 104 | FNMA POOL # AS9451 3.5% 4/1/47 | | 0 | 34,543 | 34,192 |
| 105 | FNMA POOL # BC0885 3.0% 5/01/46 | | 0 | 13,366 | 13,290 |
| 106 | F N M A POOL BE3767 3.5% 7/01/47 | | 0 | 65,753 | 65,224 |
| 107 | FNMA BE9598 4.0% 5/01/47 | | 0 | 15,205 | 15,025 |
| 108 | F N M A BH3154 4.0% 7/01/47 | | 0 | 20,749 | 20,507 |
| 109 | FNMA POOL #BH5017 3.0% 8/01/47 | | 0 | 15,031 | 14,895 |
| 110 | FNMA POOL # MA2670 3.0% 7/01/46 | | 0 | 36,052 | 35,802 |
| 111 | FNMA POOL # MA2705 3.0% 7/01/46 | | 0 | 31,783 | 31,563 |
| 112 | FNMA POOL # MA2737 3.0% 9/01/46 | | 0 | 38,914 | 36,658 |
| 113 | FNMA MA2775 2.5% 9/01/31 | | 0 | 69,965 | 69,760 |
| 114 | F N M A POOL #MA3026 3.5% 6/01/47 | | 0 | 14,746 | 14,636 |
| 116 | FNMA MA3078 3.0% 7/01/37 | | 0 | 24,588 | 24,393 |
| 116 | FNMA POOL # MA3149 4.0% 10/01/47 | | 0 | 36,460 | 36,133 |
| 117 | FNMA MA3153 4.0% 10/01/37 | | 0 | 26,221 | 25,991 |
| 118 | FNMA MA3155 3.0% 10/01/32 | | 0 | 45,111 | 44,670 |
| 119 | FORD MOTOR CREDIT CO 3.219% 1/09/22 | | 0 | 25,496 | 25,154 |
| 120 | GNMA II POOL # MA4588 4.5% 7/20/47 | | 0 | 15,530 | 15,225 |
| 121 | GNMA MA4718 3.0% 9/20/47 | | 0 | 75,850 | 75,181 |
| 122 | GNMA MA4719 3.5% 9/20/47 | | 0 | 41,380 | 41,048 |
| 123 | GNMA II POOL # MA4779 4.0% 10/20/47 | | 0 | 73,276 | 72,880 |
| 124 | GENERAL ELEC CAP CORP | | 0 | 61,078 | 59,388 |
| 125 | GOLDMAN SACHS GROUP | | 0 | 59,385 | 60,239 |
| 126 | INTEL CORP 2.7% 12/15/22 | | 0 | 25,698 | 25,278 |
| 127 | INTERCONTINENTALEXCHA 2.75% 12/01/2 | | 0 | 46,143 | 45,504 |
| 128 | JPMORGAN CHASE & CO 3.375% 5/01/23 | | 0 | 46,356 | 45,741 |
| 129 | KIMCO REALTY CORP 3.4% 11/01/22 | | 0 | 30,856 | 30,594 |
| 130 | MET LIFE GLOB FNDG 7.717% 2/15/19 | | 0 | 43,354 | 42,501 |
| 131 | MICROSOFT CORP 3.75% 2/12/45 | | 0 | 40,290 | 42,140 |
| 132 | MORGAN STANLEY 4.875% 11/01/22 | | 0 | 65,471 | 64,609 |
| 133 | NISOURCE FINANCE CORP 3.95% 3/30/46 | | 0 | 24,973 | 25,610 |
| 134 | NORTHROP GRUMMAN CORP 2.08% 10/1 | | 0 | 10,006 | 9,918 |
| 136 | OAKLAND CA UNIF SCH 9.5% 8/01/34 | | 0 | 11,215 | 11,124 |
| 136 | ONTARIO (PROVINCE OF) 4.0% 10/07/19 | | 0 | 41,937 | 41,244 |
| 137 | ORACLE CORP 2.5% 5/15/22 | | 0 | 60,949 | 60,095 |
| 138 | FNMA MA3184 4.5% 11/01/47 | | 0 | 26,449 | 26,253 |

Part II, Line 13 (990-PF) - Investments - Other

| | | 0 | 22,876,982 | 24,694,269 |
|--|--------------------|-------------------------|------------------------|-----------------|
| Asset Description | Basis of Valuation | Book Value Beg. of Year | Book Value End of Year | FMV End of Year |
| 139 FLORIDA ST HURRICANE 2.995% 7/01/20 | | 0 | 66,208 | 65,851 |
| 140 PEPSICO INC 4.5% 1/15/20 | | 0 | 42,168 | 41,828 |
| 141 PETROLEOS MEXICANOS | | 0 | 52,500 | 52,112 |
| 142 SPECTRA ENERGY PARTNER 3.5% 3/15/2 | | 0 | 15,070 | 15,063 |
| 143 TANGER PROPERTIES LP 3.125% 9/01/26 | | 0 | 19,133 | 19,038 |
| 144 TELEFONICA EMISIONES 4.103% 3/08/27 | | 0 | 52,230 | 51,694 |
| 145 TSS 4.8 04/01/26 CORP 4.8% 4/01/26 | | 0 | 48,957 | 48,685 |
| 146 TOYOTA MOTOR CREDIT 2.15% 3/12/20 | | 0 | 30,280 | 29,905 |
| 147 U S TREASURY BOND 2.875% 8/15/45 | | 0 | 76,730 | 76,916 |
| 148 UNITED STATES TREAS IN 1.0% 2/15/46 | | 0 | 58,699 | 61,166 |
| 149 U S ETREASURY NOTE 2.25% 8/15/46 | | 0 | 22,558 | 22,552 |
| 150 UNITED STATES TREAS BD 3.0% 2/15/47 | | 0 | 94,172 | 94,651 |
| 151 UNITED STATES TREAS 2.0% 11/30/20 | | 0 | 15,250 | 15,014 |
| 152 U S TREASURY NOTE 2.125% 9/30/21 | | 0 | 86,743 | 85,054 |
| 153 UNITED STATES TREAS 1.0% 2/15/18 | | 0 | 499,805 | 499,820 |
| 154 U S TREASURY INFLATIO 0.125% 4/15/20 | | 0 | 31,765 | 31,535 |
| 155 UNITED STATES TREAS 1.125% 1/15/19 | | 0 | 84,811 | 84,382 |
| 156 U S TREASURY NOTE | | 0 | 73,919 | 72,934 |
| 157 US TREAS-CPI | | 0 | 84,827 | 83,662 |
| 158 US TREAS-CPI | | 0 | 71,551 | 70,554 |
| 159 U S TREASURY INFLATI 0.375% 1/15/27 | | 0 | 25,669 | 25,345 |
| 160 UNITED STATES TREAS 1.375% 2/15/20 | | 0 | 74,968 | 74,174 |
| 161 US TREASURY N/B 1.25% 3/31/19 | | 0 | 109,893 | 109,188 |
| 162 US TREASURY INFLATIO 0.375% 7/15/25 | | 0 | 62,920 | 62,505 |
| 163 UNITED STATES TREAS 1.5% 4/15/20 | | 0 | 50,156 | 49,535 |
| 164 UNITED STATES TREAS 1.875% 4/30/22 | | 0 | 25,224 | 24,701 |
| 165 UNITED STATES TREASU 2.375% 5/15/27 | | 0 | 9,965 | 9,972 |
| 166 VERIZON COMMUNICATIONS 5.5% 3/16/4 | | 0 | 59,533 | 62,668 |
| 167 WFRBS 2013-C12 A4 3.198% 3/15/48 | | 0 | 25,910 | 25,508 |
| 168 WELLS FARGO & COMPANY 4.9% 11/17/4 | | 0 | 38,598 | 39,612 |
| 169 WELLS FARGO & CO | | 0 | 20,010 | 19,892 |
| 170 ALLERGAN PLC | | 0 | 18,190 | 10,469 |
| 171 EATON CORP PLC | | 0 | 9,003 | 15,802 |
| 172 INGERSOLL-RAND PLC | | 0 | 21,056 | 36,568 |
| 173 INVESCO LTD | | 0 | 21,865 | 27,405 |
| 174 BROADCOM LTD. | | 0 | 8,859 | 18,497 |
| 175 AT&T INC | | 0 | 15,382 | 17,496 |
| 176 ABBOTT LABORATORIES | | 0 | 15,527 | 21,687 |
| 177 ABBVIE INC | | 0 | 11,492 | 11,605 |
| 178 ADOBE SYS INC COM | | 0 | 20,353 | 38,553 |
| 179 AGILENT TECHNOLOGIES INC | | 0 | 10,209 | 18,082 |
| 180 ALEXION PHARMACEUTICALS INC | | 0 | 9,846 | 9,448 |
| 181 ALIGN TECHNOLOGY INC | | 0 | 12,934 | 18,664 |
| 182 ALLY FINANCIAL INC | | 0 | 16,408 | 28,577 |
| 183 ALPHABET INC/CA | | 0 | 31,006 | 45,296 |
| 184 AMAZON COM INC | | 0 | 37,223 | 74,846 |

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Part II, Line 13 (990-PF) - Investments - Other

| | | 0 | 22,876,982 | 24,694,269 | |
|-----|-----------------------------------|--------------------|-------------------------|------------------------|-----------------|
| | Asset Description | Basis of Valuation | Book Value Beg. of Year | Book Value End of Year | FMV End of Year |
| 185 | AMERICAN TOWER CORP-CL A | | 0 | 7,639 | 11,984 |
| 186 | APPLE COMPUTER INC COM | | 0 | 22,153 | 33,508 |
| 187 | BANK AMER CORP | | 0 | 18,628 | 37,490 |
| 188 | BRISTOL MYERS SQUIBB CO COM | | 0 | 15,516 | 15,320 |
| 189 | CSX CORP COM | | 0 | 16,631 | 23,654 |
| 190 | CELANESE CORP DEL | | 0 | 17,350 | 29,982 |
| 191 | CELGENE CORP | | 0 | 11,579 | 11,167 |
| 192 | COMCAST CORP NEW CL A | | 0 | 24,537 | 28,836 |
| 193 | CONSTELLATION BRANDS INC | | 0 | 16,864 | 26,971 |
| 194 | CORNING INC COM | | 0 | 21,750 | 22,393 |
| 195 | COSTCO WHSL CORP NEW | | 0 | 16,349 | 20,101 |
| 196 | DFA EMERG MKTS CORE EQUITY | | 0 | 387,461 | 592,678 |
| 197 | DEERE & COMPANY | | 0 | 18,317 | 22,224 |
| 198 | DISNEY (WALT) COMPANY HOLDING CO. | | 0 | 18,386 | 19,782 |
| 199 | DOWDUPONT INC | | 0 | 15,231 | 24,927 |
| 200 | ELECTRONIC ARTS | | 0 | 15,151 | 19,856 |
| 201 | EQUINIX INC | | 0 | 8,283 | 8,611 |
| 202 | FACEBOOK INC | | 0 | 23,345 | 43,056 |
| 203 | HARTFORD FINL SVCS GROUP INC COM | | 0 | 13,903 | 19,698 |
| 204 | HILL ROM HLDGS | | 0 | 7,757 | 8,429 |
| 205 | HOME DEPOT INC USD 0.05 | | 0 | 20,290 | 32,599 |

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

| | | | |
|---|--------------------|---|--------|
| 1 | FEDERAL TAX REFUND | 1 | 51,707 |
| 2 | COST ADJUSTMENT | 2 | 928 |
| 3 | Total | 3 | 52,635 |

Line 5 - Decreases not included in Part III, Line 2

| | | | |
|---|--|---|--------|
| 1 | MONDELEZ INTERNATIONAL INC - COST BASIS CHANGE | 1 | 137 |
| 2 | NVIDIA CORP - COST BASIS CHANGE | 2 | 20 |
| 3 | EATON CORP PLC - COST BASIS CHANGE | 3 | 844 |
| 4 | SALES COST ADJUSTMENT | 4 | 565 |
| 5 | LOSS ON PY SALES SETTLED IN CURRENT YEAR | 5 | 597 |
| 6 | PURCHASE OF ACCRUED INTEREST C/O TO NEXT YEAR | 6 | 8,789 |
| 7 | Total | 7 | 10,952 |

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Long Term CG Distributions | | Amount | | 22,428,478 | | 20,538,002 | | 1,890,474 | | 0 | | 0 | | 0 | | 1,890,474 | | | | | | | | | | | |
|------------------------------|---------------------------|------------|--|--------------------|--|---------------|------------|-----------|--|-------------------|--|----------------------|--|-------------|--|--|---------|--------------|--|--------------------|--|-------------------------------|--|-----------------------------------|--|---|--|
| Short Term CG Distributions | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | | | | | | | | | | | |
| Description of Property Sold | | CUSIP # | | Acquisition Method | | Date Acquired | | Date Sold | | Gross Sales Price | | Depreciation Allowed | | Adjustments | | Cost or Other Basis Plus Expense of Sale | | Gain or Loss | | FMV as of 12/31/99 | | Adjusted Basis as of 12/31/99 | | Excess of FMV Over Adjusted Basis | | Gain/Loss Excess FMV or Adj Basis or Loss | |
| 1 | DREYFUS SELECT MGR SR | 86271F529 | | | | 3/1/2016 | 8/13/2017 | | | 50,772 | | | | | | 40,000 | 10,772 | | | | | | | | | | |
| 2 | DREYFUS INTERNATIONAL | 86271F537 | | | | 1/6/2016 | 8/13/2017 | | | 318,043 | | | | | | 254,803 | 64,440 | | | | | | | | | | |
| 3 | DREYFUS INTERNATIONAL | 86271F537 | | | | 1/6/2016 | 8/13/2017 | | | 31,981 | | | | | | 25,000 | 6,981 | | | | | | | | | | |
| 4 | DREYFUS SELECT MGR SR | 86271F580 | | | | 1/6/2016 | 8/13/2017 | | | 437,234 | | | | | | 350,000 | 107,234 | | | | | | | | | | |
| 5 | DREYFUS SELECT MGR SR | 86271F580 | | | | 3/3/2016 | 8/13/2017 | | | 29,824 | | | | | | 16,600 | 4,224 | | | | | | | | | | |
| 6 | SYNCHRONY FINANCIAL | 87185B103 | | | | 10/5/2016 | 11/29/2017 | | | 3,785 | | | | | | 4,758 | 1,002 | | | | | | | | | | |
| 7 | TAIWAN SEMICONDUCTOR N | 87403B100 | | | | 11/18/2016 | 8/16/2017 | | | 3,308 | | | | | | 2,685 | 623 | | | | | | | | | | |
| 8 | TAIWAN SEMICONDUCTOR N | 87403B100 | | | | 11/18/2016 | 8/16/2017 | | | 1,429 | | | | | | 1,184 | 235 | | | | | | | | | | |
| 9 | TAIWAN SEMICONDUCTOR N | 87403B100 | | | | 11/18/2016 | 7/5/2017 | | | 3,129 | | | | | | 2,680 | 449 | | | | | | | | | | |
| 10 | TAIWAN SEMICONDUCTOR N | 87403B100 | | | | 8/20/2016 | 7/5/2017 | | | 1,736 | | | | | | 1,313 | 423 | | | | | | | | | | |
| 11 | F N M A BH3154 4 0% 7A | 3140G9RQ22 | | | | 11/8/2017 | 12/25/2017 | | | 290 | | | | | | 307 | -17 | | | | | | | | | | |
| 12 | F N M A BH3154 4 0% 7A | 3140G9RQ22 | | | | 11/8/2017 | 12/25/2017 | | | 346 | | | | | | 387 | -41 | | | | | | | | | | |
| 13 | FNMA POOL RMBS017 3 0% | 3140GT5F8 | | | | 10/12/2017 | 11/25/2017 | | | 24 | | | | | | 5 | 19 | | | | | | | | | | |
| 14 | FNMA POOL RMBS017 3 0% | 3140GT5F8 | | | | 10/12/2017 | 12/25/2017 | | | 25 | | | | | | 5 | 20 | | | | | | | | | | |
| 15 | FNMA POOL RMBS017 3 0% | 3140GT5F8 | | | | 10/12/2017 | 12/25/2017 | | | 25 | | | | | | 5 | 20 | | | | | | | | | | |
| 16 | FNMA POOL # MA2670 3 0% | 31418B080 | | | | 11/28/2017 | 12/25/2017 | | | 266 | | | | | | 296 | -30 | | | | | | | | | | |
| 17 | FNMA POOL # MA2670 3 0% | 31418B080 | | | | 11/28/2017 | 12/25/2017 | | | 248 | | | | | | 248 | 0 | | | | | | | | | | |
| 18 | FNMA POOL # MA2705 3 0% | 31418CAF1 | | | | 11/10/2017 | 12/25/2017 | | | 242 | | | | | | 244 | -2 | | | | | | | | | | |
| 19 | FNMA POOL # MA2705 3 0% | 31418CAF1 | | | | 11/10/2017 | 12/25/2017 | | | 224 | | | | | | 229 | -5 | | | | | | | | | | |
| 20 | FNMA POOL # MA2737 3 0% | 31418CBF9 | | | | 11/10/2017 | 12/25/2017 | | | 253 | | | | | | 265 | -12 | | | | | | | | | | |
| 21 | FNMA POOL # MA2737 3 0% | 31418CBF9 | | | | 11/10/2017 | 12/25/2017 | | | 294 | | | | | | 297 | -3 | | | | | | | | | | |
| 22 | FNMA MA2775 2 5% 10 | 31418CMA4 | | | | 12/1/2017 | 1/5/2018 | | | 775 | | | | | | 771 | -4 | | | | | | | | | | |
| 23 | F N M A POOL RMBS017 3 0% | 31418CL07 | | | | 11/28/2017 | 12/25/2017 | | | 127 | | | | | | 132 | -5 | | | | | | | | | | |
| 24 | F N M A POOL RMBS017 3 0% | 31418CL07 | | | | 11/28/2017 | 12/25/2017 | | | 109 | | | | | | 130 | -21 | | | | | | | | | | |
| 25 | F N M A POOL RMBS017 3 0% | 31418CL08 | | | | 11/19/2017 | 11/15/2017 | | | 23,785 | | | | | | 24,075 | -311 | | | | | | | | | | |
| 26 | TESARO INC | 861509107 | | | | 1/31/2017 | 10/18/2017 | | | 4,213 | | | | | | 5,946 | -1,733 | | | | | | | | | | |
| 27 | TIME WARNER INC | 867317303 | | | | 11/5/2016 | 3/16/2017 | | | 12,795 | | | | | | 8,073 | 3,722 | | | | | | | | | | |
| 28 | TIME WARNER INC | 867317303 | | | | 11/5/2016 | 3/17/2017 | | | 9,838 | | | | | | 8,079 | 1,759 | | | | | | | | | | |
| 29 | TIME WARNER INC | 867317303 | | | | 11/5/2016 | 6/28/2017 | | | 3,944 | | | | | | 2,735 | 1,209 | | | | | | | | | | |
| 30 | TIME WARNER INC | 867317303 | | | | 3/18/2018 | 11/9/2017 | | | 4,575 | | | | | | 3,480 | 1,095 | | | | | | | | | | |
| 31 | TWENTY-FIRST CENTURY FC | 80130A151 | | | | 3/17/2017 | 12/15/2017 | | | 5,295 | | | | | | 4,640 | 655 | | | | | | | | | | |
| 32 | UNITED STATES TREAS 11 | 912CB9M03 | | | | 8/1/2017 | 9/15/2017 | | | 24,930 | | | | | | 24,944 | -14 | | | | | | | | | | |
| 33 | UNITED STATES TREAS 11 | 912CB9M03 | | | | 8/1/2017 | 10/11/2017 | | | 20,814 | | | | | | 20,833 | -19 | | | | | | | | | | |
| 34 | UNITED TECHNOLOGIES CO | 913017109 | | | | 1/15/2016 | 11/3/2017 | | | 22,029 | | | | | | 21,933 | 96 | | | | | | | | | | |
| 35 | UNIVERSAL HEALTH SERVC | 913003100 | | | | 11/5/2016 | 6/16/2017 | | | 4,264 | | | | | | 17,219 | 4,814 | | | | | | | | | | |
| 36 | UNIVERSAL HEALTH SERVC | 913003100 | | | | 11/5/2016 | 6/16/2017 | | | 8,862 | | | | | | 4,953 | 201 | | | | | | | | | | |
| 37 | VERIZON COMMUNICATIONS | 92343V154 | | | | 11/5/2016 | 5/4/2017 | | | 8,422 | | | | | | 8,458 | -36 | | | | | | | | | | |
| 38 | VOTA FINANCIAL INC | 525089100 | | | | 11/5/2016 | 11/13/2017 | | | 6,980 | | | | | | 5,221 | 1,759 | | | | | | | | | | |
| 39 | WELLS FARGO & COMPANY | 948748101 | | | | 10/4/2017 | 5/22/2017 | | | 1,584 | | | | | | 1,652 | -68 | | | | | | | | | | |
| 40 | WELLS FARGO & COMPANY | 948748101 | | | | 11/5/2016 | 5/22/2017 | | | 2,112 | | | | | | 1,972 | 140 | | | | | | | | | | |
| 41 | WELLS FARGO & COMPANY | 948748101 | | | | 11/5/2016 | 7/11/2017 | | | 15,472 | | | | | | 12,805 | 1,667 | | | | | | | | | | |
| 42 | WELLS FARGO & COMPANY | 948748101 | | | | 9/12/2017 | 10/12/2017 | | | 20,478 | | | | | | 20,322 | 156 | | | | | | | | | | |
| 43 | TUM BRANDS INC | 068404101 | | | | 11/5/2016 | 4/6/2017 | | | 644 | | | | | | 480 | 164 | | | | | | | | | | |
| 44 | ZIMMER BIOMET HOLDINGS | 98958P102 | | | | 5/26/2016 | 8/15/2017 | | | 9,936 | | | | | | 11,026 | -1,091 | | | | | | | | | | |
| 45 | ZIMMER BIOMET HOLDINGS | 98958P102 | | | | 5/27/2016 | 8/15/2017 | | | 3,201 | | | | | | 3,587 | -386 | | | | | | | | | | |
| 46 | ACCENTURE PLC-CL A | 01151C101 | | | | 3/17/2017 | 4/5/2017 | | | 2,250 | | | | | | 2,374 | -124 | | | | | | | | | | |
| 47 | ACCENTURE PLC-CL A | 01151C101 | | | | 3/17/2017 | 8/8/2017 | | | 2,261 | | | | | | 2,249 | 12 | | | | | | | | | | |
| 48 | ACCENTURE PLC-CL A | 01151C101 | | | | 3/17/2017 | 6/21/2017 | | | 3,171 | | | | | | 3,134 | 37 | | | | | | | | | | |
| 49 | ACCENTURE PLC-CL A | 01151C101 | | | | 3/17/2017 | 6/29/2017 | | | 2,330 | | | | | | 2,374 | -44 | | | | | | | | | | |
| 50 | ACCENTURE PLC-CL A | 01151C101 | | | | 11/28/2016 | 6/28/2017 | | | 3,679 | | | | | | 3,633 | 46 | | | | | | | | | | |
| 51 | ACCENTURE PLC-CL A | 01151C101 | | | | 11/5/2016 | 8/29/2017 | | | 7,819 | | | | | | 5,241 | 1,377 | | | | | | | | | | |
| 52 | ACCENTURE PLC-CL A | 01151C101 | | | | 11/28/2016 | 8/29/2017 | | | 865 | | | | | | 848 | 17 | | | | | | | | | | |
| 53 | AT&T INC | 00206R102 | | | | 11/5/2016 | 6/8/2017 | | | 773 | | | | | | 689 | 84 | | | | | | | | | | |
| 54 | AT&T INC | 00206R102 | | | | 6/8/2016 | 6/8/2017 | | | 7,702 | | | | | | 7,672 | 30 | | | | | | | | | | |
| 55 | AT&T INC | 00206R102 | | | | 11/5/2016 | 6/8/2017 | | | 1,608 | | | | | | 1,719 | -111 | | | | | | | | | | |
| 56 | ABBOTT LABORATORIES | 002824102 | | | | 10/1/2017 | 8/15/2017 | | | 3,911 | | | | | | 3,323 | 588 | | | | | | | | | | |
| 57 | DREYFUS GLOBAL REAL RE | 007585120 | | | | 1/6/2016 | 1/6/2017 | | | 309,850 | | | | | | 300,000 | 9,850 | | | | | | | | | | |
| 58 | DREYFUS GLOBAL REAL RE | 007585120 | | | | 1/3/2016 | 1/6/2017 | | | 117,872 | | | | | | 117,812 | 60 | | | | | | | | | | |
| 59 | ADVANTAGE DYN TOTAL RE | 007585140 | | | | 1/6/2016 | 1/6/2017 | | | 299,775 | | | | | | 250,000 | 49,775 | | | | | | | | | | |
| 60 | ADVANTAGE DYN TOTAL RE | 007585140 | | | | 7/18/2016 | 1/6/2017 | | | 259,881 | | | | | | 200,000 | 59,881 | | | | | | | | | | |
| 61 | DREYFUS OPPORT SM CAP | 007586878 | | | | 1/6/2016 | 6/13/2017 | | | 465,152 | | | | | | 350,000 | 115,152 | | | | | | | | | | |
| 62 | DREYFUS OPPORT SM CAP | 007586878 | | | | 3/31/2016 | 6/13/2017 | | | 21,947 | | | | | | 18,564 | 3,383 | | | | | | | | | | |
| 63 | AGILENT TECHNOLOGIES IN | 00846U101 | | | | 11/5/2016 | 5/24/2017 | | | 1,768 | | | | | | 1,108 | 660 | | | | | | | | | | |
| 64 | AGILENT TECHNOLOGIES IN | 00846U101 | | | | 6/7/2017 | 12/8/2017 | | | 4,071 | | | | | | 3,629 | 442 | | | | | | | | | | |
| 65 | GNMA MA4719 3 5% 9A | 36179T041 | | | | 8/18/2017 | 12/20/2017 | | | 84 | | | | | | 87 | -3 | | | | | | | | | | |
| 66 | GNMA MA4719 3 5% 9A | 36179T041 | | | | 8/18/2017 | 12/20/2017 | | | 50 | | | | | | 52 | -2 | | | | | | | | | | |
| 67 | GNMA MA4719 3 5% 9A | 36179T041 | | | | 8/18/2017 | 12/20/2017 | | | 126 | | | | | | 131 | -5 | | | | | | | | | | |
| 68 | GNMA MA4719 3 5% 9A | 36179T041 | | | | 8/18/2017 | 12/20/2017 | | | 78 | | | | | | 78 | 0 | | | | | | | | | | |
| 69 | GNMA # POOL # MA4778 4 0% | 36179T272 | | | | 11/10/2017 | 12/20/2017 | | | 219 | | | | | | 228 | | | | | | | | | | | |

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Long Term CG Distributions | | 1,915 | 22,428,478 | | 0 | 0 | 20,528,002 | 1,889,474 | 0 | 0 | 1,889,474 | | | |
|-----------------------------|--------------------------------|------------|--------------------|---------------|------------|-------------------|----------------------|-------------|--------------------------|--------------|----------------------|-------------------------------|-----------------------------------|---|
| Short Term CG Distributions | | 0 | | | | | | | | | | | | |
| | | | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus | Gain or Loss | F & M as of 12/31/09 | Adjusted Basis as of 12/31/09 | Excess of FMV Over Adjusted Basis | Gain Minus Excess FMV Over Adjusted Basis |
| 70 | GENERAL ELECTRIC CO # MA3197 2 | 3017972 | | 11/10/2016 | 10/20/2018 | 394 | | | 414 | -20 | 0 | 0 | 0 | 0 |
| 71 | GENERAL ELECTRIC CO # MA3197 2 | 3017972 | | 11/10/2016 | 10/20/2018 | 17,051 | | | 16,611 | 440 | 0 | 0 | 0 | 0 |
| 72 | HARTFORD FINL SVCS GRO | 416515104 | | 12/12/2016 | 11/20/2017 | 2,793 | | | 2,474 | 319 | 0 | 0 | 0 | 0 |
| 73 | HARTFORD FINL SVCS GRO | 416515104 | | 19/4/2016 | 11/20/2017 | 2,793 | | | 2,474 | 319 | 0 | 0 | 0 | 0 |
| 74 | HOMER DEPOT INC | 437078102 | | 1/15/2016 | 9/14/2017 | 5,110 | | | 2,179 | 2,931 | 0 | 0 | 0 | 0 |
| 75 | INCYTE CORPORATION | 45337C102 | | 12/19/2016 | 8/11/2017 | 2,484 | | | 2,275 | 209 | 0 | 0 | 0 | 0 |
| 76 | INCYTE CORPORATION | 45337C102 | | 15/5/2016 | 8/11/2017 | 1,116 | | | 2,056 | -940 | 0 | 0 | 0 | 0 |
| 77 | INTEL CORP | 458140100 | | 8/23/2016 | 1/25/2017 | 4,902 | | | 4,288 | 614 | 0 | 0 | 0 | 0 |
| 78 | INTEL CORP | 458140100 | | 8/23/2016 | 2/10/2017 | 3,873 | | | 3,629 | 245 | 0 | 0 | 0 | 0 |
| 79 | EATON CORP PLC | 0398183103 | | 11/15/2016 | 8/8/2017 | 12,403 | | | 7,346 | 5,057 | 0 | 0 | 0 | 0 |
| 80 | BROADCOM LTD | Y09827109 | | 2/25/2017 | 3/17/2017 | 3,770 | | | 2,259 | 1,511 | 0 | 0 | 0 | 0 |
| 81 | BROADCOM LTD | Y09827109 | | 12/2/2016 | 3/17/2017 | 3,770 | | | 2,259 | 1,511 | 0 | 0 | 0 | 0 |
| 82 | BROADCOM LTD | Y09827109 | | 11/15/2016 | 3/17/2017 | 3,770 | | | 2,297 | 808 | 0 | 0 | 0 | 0 |
| 83 | BROADCOM LTD | Y09827109 | | 11/15/2016 | 4/5/2017 | 2,291 | | | 2,584 | -293 | 0 | 0 | 0 | 0 |
| 84 | BROADCOM LTD | Y09827109 | | 7/25/2017 | 10/17/2017 | 3,647 | | | 1,230 | 2,417 | 0 | 0 | 0 | 0 |
| 85 | BROADCOM LTD | Y09827109 | | 11/15/2016 | 10/17/2017 | 4,803 | | | 3,613 | 1,190 | 0 | 0 | 0 | 0 |
| 86 | BROADCOM LTD | Y09827109 | | 11/15/2016 | 10/26/2017 | 2,935 | | | 1,477 | 1,458 | 0 | 0 | 0 | 0 |
| 87 | BROADCOM LTD | Y09827109 | | 11/15/2016 | 11/7/2017 | 4,846 | | | 2,215 | 2,631 | 0 | 0 | 0 | 0 |
| 88 | F.N.M.A. POOL #MA3038 4.5% | 31418C08 | | 11/10/2017 | 12/25/2017 | 504 | | | 545 | -41 | 0 | 0 | 0 | 0 |
| 89 | F.N.M.A. POOL #MA3038 4.5% | 31418C08 | | 10/2/2017 | 11/29/2017 | 252 | | | 290 | -38 | 0 | 0 | 0 | 0 |
| 90 | F.N.M.A. POOL #MA3038 4.5% | 31418C08 | | 10/2/2017 | 12/25/2017 | 144 | | | 147 | -3 | 0 | 0 | 0 | 0 |
| 91 | F.N.M.A. POOL #MA3038 4.5% | 31418C08 | | 10/2/2017 | 12/25/2017 | 236 | | | 245 | -9 | 0 | 0 | 0 | 0 |
| 92 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 11/25/2017 | 38 | | | 37 | 1 | 0 | 0 | 0 | 0 |
| 93 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 9/29/2017 | 11/25/2017 | 182 | | | 192 | -10 | 0 | 0 | 0 | 0 |
| 94 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 95 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 96 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 97 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 98 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 99 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 100 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 101 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 102 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 103 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 104 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 105 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 106 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 107 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 108 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 109 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 110 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 111 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 112 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 113 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 114 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 115 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 116 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 117 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 118 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 119 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 120 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 121 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 122 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 123 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 124 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 125 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 126 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 127 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 128 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 129 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 130 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 131 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 132 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 133 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 134 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 135 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 136 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 137 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 138 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 139 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 140 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 141 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 142 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 143 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 144 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 145 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 146 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 147 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 148 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 149 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 150 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 151 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 152 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 153 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 154 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 155 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 156 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 157 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 158 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 159 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | 0 | 0 |
| 160 | F.N.M.A. POOL #MA3148 4.0% | 31418C08 | | 10/2/2017 | 12/25/2017 | 24 | | | 154 | -130 | 0 | 0 | | |

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Long Term CG Distributions | | 3,915 | Short Term CG Distributions | | 0 | Total | | 72,426,478 | 0 | 20,539,092 | 1,880,474 | 0 | 0 | 0 | 1,880,474 |
|--------------------------------|-----------|--------------------|-----------------------------|------------|-------------------|----------------------|-------------|--|--------------|-----------------------|-------------------------------|-----------------------------------|---|---|-----------|
| Description of Property Sold | CUSIP # | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F.M.V. as of 12/31/16 | Adjusted Basis as of 12/31/16 | Excess of FMV Over Adjusted Basis | Gain Minus Excess FMV Over Adj. Basis or Loss | | |
| 136 GNMA II POOL # MAAS68 4.5% | 36179TC28 | | 8/18/2017 | 1/20/2018 | 337 | | 0 | 303 | -20 | 0 | 0 | 0 | 0 | | |
| 140 GNMA MA4718 3.0% 9A | 36179TG33 | | 8/18/2017 | 10/20/2017 | 75 | | 0 | 76 | -1 | 0 | 0 | 0 | 0 | | |
| 141 GNMA MA4718 3.0% 9A | 36179TG33 | | 8/18/2017 | 10/20/2017 | 75 | | 0 | 75 | -1 | 0 | 0 | 0 | 0 | | |
| 143 GNMA MA4718 3.0% 9A | 36179TG33 | | 8/18/2017 | 11/20/2017 | 88 | | 0 | 89 | -2 | 0 | 0 | 0 | 0 | | |
| 143 GNMA MA4718 3.0% 9A | 36179TG33 | | 8/18/2017 | 11/20/2017 | 88 | | 0 | 89 | -2 | 0 | 0 | 0 | 0 | | |
| 144 GNMA MA4718 3.0% 9A | 36179TG33 | | 10/2/2017 | 11/20/2017 | 13 | | 0 | 13 | 0 | 0 | 0 | 0 | 0 | | |
| 145 GNMA MA4718 3.0% 9A | 36179TG33 | | 8/18/2017 | 12/20/2017 | 102 | | 0 | 103 | -1 | 0 | 0 | 0 | 0 | | |
| 145 GNMA MA4718 3.0% 9A | 36179TG33 | | 8/18/2017 | 12/20/2017 | 102 | | 0 | 104 | -2 | 0 | 0 | 0 | 0 | | |
| 147 GNMA MA4718 3.0% 9A | 36179TG33 | | 10/2/2017 | 12/20/2017 | 15 | | 0 | 15 | -2 | 0 | 0 | 0 | 0 | | |
| 148 GNMA MA4718 3.0% 9A | 36179TG33 | | 8/18/2017 | 1/20/2018 | 144 | | 0 | 140 | -2 | 0 | 0 | 0 | 0 | | |
| 148 GNMA MA4718 3.0% 9A | 36179TG33 | | 8/18/2017 | 1/20/2018 | 144 | | 0 | 140 | -2 | 0 | 0 | 0 | 0 | | |
| 150 GNMA MA4718 3.0% 9A | 36179TG33 | | 10/2/2017 | 1/20/2018 | 21 | | 0 | 21 | -2 | 0 | 0 | 0 | 0 | | |
| 151 GNMA MA4718 3.5% 9A | 36179TG41 | | 8/18/2017 | 10/20/2017 | 54 | | 0 | 54 | -2 | 0 | 0 | 0 | 0 | | |
| 152 GNMA MA4718 3.5% 9A | 36179TG41 | | 8/18/2017 | 10/20/2017 | 32 | | 0 | 34 | -2 | 0 | 0 | 0 | 0 | | |
| 153 GNMA MA4718 3.5% 9A | 36179TG41 | | 8/18/2017 | 11/20/2017 | 70 | | 0 | 72 | -3 | 0 | 0 | 0 | 0 | | |
| 154 GNMA MA4718 3.5% 9A | 36179TG41 | | 8/18/2017 | 11/20/2017 | 42 | | 0 | 44 | -2 | 0 | 0 | 0 | 0 | | |
| 158 BNY MELLON INTERMEDIATE | 05509M814 | | 12/23/2015 | 9/29/2017 | 100,000 | | 0 | 99,522 | 478 | 0 | 0 | 0 | 478 | | |
| 158 BNY MELLON INTERMEDIATE | 05509M814 | | 12/23/2015 | 10/6/2017 | 504,912 | | 0 | 501,800 | 2,412 | 0 | 0 | 0 | 2,412 | | |
| 158 BNY MELLON INTERMEDIATE | 05509M814 | | 7/18/2018 | 10/6/2017 | 29,528 | | 0 | 30,024 | -496 | 0 | 0 | 0 | -496 | | |
| 158 BNY MELLON INTERMEDIATE | 05509M814 | | 12/23/2015 | 10/6/2017 | 308,502 | | 0 | 304,040 | 1,462 | 0 | 0 | 0 | 1,462 | | |
| 158 BNY MELLON BOND FUND-M | 05509M830 | | 7/18/2018 | 8/1/2017 | 112,298 | | 0 | 118,018 | -2,720 | 0 | 0 | 0 | -2,720 | | |
| 160 BNY MELLON BOND FUND-M | 05509M830 | | 12/23/2015 | 9/1/2017 | 187,701 | | 0 | 185,848 | 2,053 | 0 | 0 | 0 | 2,053 | | |
| 161 BNY MELLON BOND FUND-M | 05509M830 | | 12/23/2015 | 9/5/2017 | 300,000 | | 0 | 295,584 | 4,416 | 0 | 0 | 0 | 4,416 | | |
| 162 BNY MELLON BOND FUND-M | 05509M830 | | 12/23/2015 | 8/7/2017 | 300,000 | | 0 | 295,334 | 4,666 | 0 | 0 | 0 | 4,666 | | |
| 163 BNY MELLON BOND FUND-M | 05509M830 | | 12/23/2015 | 8/11/2017 | 400,000 | | 0 | 395,316 | 4,684 | 0 | 0 | 0 | 4,684 | | |
| 164 BNY MELLON BOND FUND-M | 05509M830 | | 12/23/2015 | 9/18/2017 | 200,000 | | 0 | 197,987 | 2,013 | 0 | 0 | 0 | 2,013 | | |
| 165 BNY MELLON BOND FUND-M | 05509M830 | | 12/23/2015 | 9/20/2017 | 200,000 | | 0 | 198,172 | 1,828 | 0 | 0 | 0 | 1,828 | | |
| 165 BNY MELLON BOND FUND-M | 05509M830 | | 12/23/2015 | 10/5/2017 | 100,000 | | 0 | 99,294 | 706 | 0 | 0 | 0 | 706 | | |
| 167 BIOMARIN PHARMACEUTICA | 06081C101 | | 12/19/2016 | 10/18/2017 | 893 | | 0 | 854 | 39 | 0 | 0 | 0 | 39 | | |
| 168 C V S HEALTH CORP 4.875 | 126850CAG | | 10/18/2017 | 11/30/2017 | 16,130 | | 0 | 16,787 | -657 | 0 | 0 | 0 | -657 | | |
| 168 C V S HEALTH CORP 4.875 | 126850CAG | | 10/18/2017 | 12/12/2017 | 5,505 | | 0 | 5,589 | -84 | 0 | 0 | 0 | -84 | | |
| 170 CITIZENS FINANCIAL GROUP | 174610105 | | 1/19/2018 | 1/4/2017 | 9,421 | | 0 | 9,753 | -332 | 0 | 0 | 0 | -332 | | |
| 171 CITIZENS FINANCIAL GROUP | 174610105 | | 1/19/2018 | 11/20/2017 | 2,260 | | 0 | 1,337 | 923 | 0 | 0 | 0 | 923 | | |
| 172 CITIZENS FINANCIAL GROUP | 174610105 | | 1/20/2018 | 11/20/2017 | 2,280 | | 0 | 1,284 | 996 | 0 | 0 | 0 | 996 | | |
| 173 CITIZENS FINANCIAL GROUP | 174610105 | | 7/29/2018 | 11/21/2017 | 3,411 | | 0 | 5,178 | 3,919 | 0 | 0 | 0 | 3,919 | | |
| 175 COMCAST CORP CL A | 20030H101 | | 5/11/2017 | 9/11/2017 | 3,394 | | 0 | 1,912 | 1,482 | 0 | 0 | 0 | 1,482 | | |
| 176 BNY MELLON BOND FUND-M | 05509M830 | | 12/23/2015 | 10/6/2017 | 837,912 | | 0 | 3,538 | 144 | 0 | 0 | 0 | 144 | | |
| 177 BNY MELLON INTERNATIONAL | 05509M871 | | 12/23/2015 | 8/13/2017 | 873,085 | | 0 | 932,754 | -5,156 | 0 | 0 | 0 | -5,156 | | |
| 178 BNY MELLON INTERNATIONAL | 05509M871 | | 1/28/2018 | 8/13/2017 | 90,819 | | 0 | 781,083 | 82,002 | 0 | 0 | 0 | 82,002 | | |
| 179 BNY MELLON INTERNATIONAL | 05509M871 | | 3/15/2018 | 8/13/2017 | 58,441 | | 0 | 50,000 | 10,919 | 0 | 0 | 0 | 10,919 | | |
| 180 COMCAST CORP CL A | 20030H101 | | 5/4/2017 | 9/11/2017 | 2,640 | | 0 | 50,000 | 8,441 | 0 | 0 | 0 | 8,441 | | |
| 181 COMCAST CORP CL A | 20030H101 | | 5/4/2017 | 10/12/2017 | 3,248 | | 0 | 2,887 | -41 | 0 | 0 | 0 | -41 | | |
| 182 COMCAST CORP CL A | 20030H101 | | 4/20/2017 | 10/12/2017 | 1,444 | | 0 | 3,454 | -206 | 0 | 0 | 0 | -206 | | |
| 183 COSTCO WHSE CORP NEW | 22180K105 | | 8/28/2017 | 12/18/2017 | 2,450 | | 0 | 1,520 | -76 | 0 | 0 | 0 | -76 | | |
| 184 CREDIT SUISSE GRP FU 3 12 | 225433AK7 | | 10/11/2017 | 10/19/2017 | 40,818 | | 0 | 2,089 | 381 | 0 | 0 | 0 | 381 | | |
| 185 DREYFUS BASIC S&P500 STC | 261978811 | | 3/31/2016 | 8/13/2017 | 234,488 | | 0 | 40,805 | -36 | 0 | 0 | 0 | -36 | | |
| 186 DREYFUS HIGH YIELD-J | 261986758 | | 1/6/2018 | 10/6/2017 | 437,177 | | 0 | 200,000 | 34,488 | 0 | 0 | 0 | 34,488 | | |
| 187 DREYFUS HIGH YIELD-J | 261986758 | | 3/31/2016 | 10/6/2017 | 21,972 | | 0 | 400,000 | 37,177 | 0 | 0 | 0 | 37,177 | | |
| 188 DREYFUS GLOBAL REAL ES | 261986277 | | 1/6/2018 | 10/6/2017 | 371,174 | | 0 | 20,000 | 1,872 | 0 | 0 | 0 | 1,872 | | |
| 189 DREYFUS GLOBAL REAL ES | 261986277 | | 3/31/2016 | 10/6/2017 | 14,950 | | 0 | 350,000 | 21,772 | 0 | 0 | 0 | 21,772 | | |
| 190 DREYFUS INTL SMALL CAP-V | 26201F777 | | 1/6/2018 | 8/13/2017 | 532,020 | | 0 | 15,000 | -50 | 0 | 0 | 0 | -50 | | |
| 191 DREYFUS INTL SMALL CAP-V | 26201F777 | | 3/31/2016 | 8/13/2017 | 35,818 | | 0 | 448,828 | 83,194 | 0 | 0 | 0 | 83,194 | | |
| 192 DREYFUS NEWTON INTERN | 26203E895 | | 1/6/2018 | 8/13/2017 | 500,832 | | 0 | 30,000 | 5,818 | 0 | 0 | 0 | 5,818 | | |
| 193 BIOMARIN PHARMACEUTICA | 06081C101 | | 11/5/2016 | 10/18/2017 | 7,145 | | 0 | 289,832 | 39,830 | 0 | 0 | 0 | 39,830 | | |
| 194 DREYFUS NEWTON INTERN | 26203E895 | | 1/28/2018 | 8/13/2017 | 34,937 | | 0 | 6,824 | 521 | 0 | 0 | 0 | 521 | | |
| 195 DREYFUS MODCAP INDEX-J | 26203J103 | | 12/23/2015 | 8/13/2017 | 1,639,078 | | 0 | 30,000 | 4,917 | 0 | 0 | 0 | 4,917 | | |
| 196 DREYFUS MODCAP INDEX-J | 26203J103 | | 1/28/2018 | 8/13/2017 | 412,224 | | 0 | 1,620,688 | 18,390 | 0 | 0 | 0 | 18,390 | | |
| 197 DREYFUS MODCAP INDEX-J | 26203J103 | | 3/31/2016 | 8/13/2017 | 167,847 | | 0 | 325,000 | 87,224 | 0 | 0 | 0 | 87,224 | | |
| 198 ELECTRONIC ARTS INC | 285512109 | | 2/10/2017 | 10/28/2017 | 3,120 | | 0 | 150,000 | 17,847 | 0 | 0 | 0 | 17,847 | | |
| 199 ELECTRONIC ARTS INC | 285512109 | | 2/10/2017 | 11/20/2017 | 1,398 | | 0 | 2,328 | 794 | 0 | 0 | 0 | 794 | | |
| 200 ELECTRONIC ARTS INC | 285512109 | | 1/25/2017 | 11/20/2017 | 3,334 | | 0 | 1,120 | 278 | 0 | 0 | 0 | 278 | | |
| 201 FACEBOOK INC-A | 30303M102 | | 1/5/2018 | 1/2/2017 | 3,140 | | 0 | 2,524 | 810 | 0 | 0 | 0 | 810 | | |
| 202 DREYFUS SELECT MGR L/S | 26188X809 | | 1/6/2018 | 10/6/2017 | 391,544 | | 0 | 2,563 | 583 | 0 | 0 | 0 | 583 | | |
| 203 DREYFUS SELECT MGR L/S | 26188X809 | | 3/31/2016 | 10/6/2017 | 158,950 | | 0 | 375,000 | 16,544 | 0 | 0 | 0 | 16,544 | | |
| 204 DREYFUS FLOATING RATE-B | 261948736 | | 1/6/2018 | 10/6/2017 | 485,145 | | 0 | 150,000 | 8,950 | 0 | 0 | 0 | 8,950 | | |
| 205 DREYFUS BASIC S&P500 STC | 261978811 | | 12/23/2015 | 8/13/2017 | 2,325,024 | | 0 | 480,491 | 14,744 | 0 | 0 | 0 | 14,744 | | |
| 206 DREYFUS BASIC S&P500 STC | 261978811 | | 1/28/2018 | 8/13/2017 | 418,293 | | 0 | 2,000,000 | 325,024 | 0 | 0 | 0 | 325,024 | | |
| 207 FLMC GOLD 008794 4.5% | 3128MJC2 | | 11/15/2017 | 1/15/2018 | 251 | | 0 | 325,000 | 91,293 | 0 | 0 | 0 | 91,293 | | |
| | | | | | | | | 250 | -17 | 0 | 0 | 0 | -17 | | |

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | | Long Term CG Distributions | | Short Term CG Distributions | | 20,538,476 | | 20,538,052 | | 1,889,474 | | 0 | | 0 | | 1,889,474 | |
|--|--|----------------------------|--|-----------------------------|--|------------|--|------------|--|-----------|--|---|--|---|--|-----------|--|
| | | \$ 915 | | 0 | | | | | | | | | | | | | |
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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Long Term CG Distributions | | Short Term CG Distributions | | Amount | | 22,428,478 | | 0 | | 0 | | 20,539,002 | | 1,889,474 | | 0 | | 0 | | 0 | | 1,889,474 | |
|----------------------------|--|-----------------------------|--|--------|--|------------|--|---|--|---|--|------------|--|-----------|--|---|--|---|--|---|--|-----------|--|
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4/24/2018 4:16:45 PM - XXXXXX3400 - 668 - WTM - CHARLOTTE Y. MARTIN FOUNDATION-IMA

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

| 67,400 | | | | | | | | | | | | 0 |
|--------|--------------------------|-----------------------|-------------------------------|---------|-------|----------|-----------------|---------------------------|------------------|--------------|----------|-----------------|
| | Name | Check "X" if Business | Street | City | State | Zip Code | Foreign Country | Title | Avg Hrs Per Week | Compensation | Benefits | Expense Account |
| 1 | BONNIE SACHATELLO-SAWYER | | 1201 THIRD AVENUE, SUITE 5010 | SEATTLE | WA | 98101 | | CHAIRPERSON | 2.00 | 17,480 | N/A | N/A |
| 2 | TOM CAMPBELL | | 1201 THIRD AVENUE, SUITE 5010 | SEATTLE | WA | 98101 | | MANAGING COMMITTEE MEMBER | 2.00 | 17,480 | N/A | N/A |
| 3 | SHEILA KELLY | | 1201 THIRD AVENUE, SUITE 5010 | SEATTLE | WA | 98101 | | MANAGING COMMITTEE MEMBER | 2.00 | 17,480 | N/A | N/A |
| 4 | PETER GALLOWAY | | 1201 THIRD AVENUE, SUITE 5010 | SEATTLE | WA | 98101 | | TREASURER | 2.00 | 17,480 | N/A | N/A |
| 5 | C'ARDISS GARDNER GLESER | | 1201 THIRD AVENUE, SUITE 5010 | SEATTLE | WA | 98101 | | SECRETARY | 2.00 | 17,480 | N/A | N/A |

Part VI, Line 6a (990-PF) - Estimated Tax Payments

| | Date | | Amount |
|--|-----------|---|--------|
| 1 Credit from prior year return | | 1 | 8,358 |
| 2 First quarter estimated tax payment | 5/9/2017 | 2 | 710 |
| 3 Second quarter estimated tax payment | | 3 | |
| 4 Third quarter estimated tax payment | 9/11/2017 | 4 | 53,710 |
| 5 Fourth quarter estimated tax payment | | 5 | |
| 6 Other payments | | 6 | |
| 7 Total | | 7 | 62,778 |

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

| | | | |
|----|--|----|-----------|
| 1 | Distributable amounts for 2016 that remained undistributed at the beginning of the 2017 tax year | 1 | 1,113,331 |
| 2 | | 2 | |
| 3 | | 3 | |
| 4 | | 4 | |
| 5 | | 5 | |
| 6 | | 6 | |
| 7 | | 7 | |
| 8 | | 8 | |
| 9 | | 9 | |
| 10 | Total | 10 | 1,113,331 |

Form **2220**
Department of the Treasury
Internal Revenue Service

Underpayment of Estimated Tax by Corporations

OMB No. 1545-0123

2017

► Attach to the corporation's tax return.

► Go to www.irs.gov/Form2220 for instructions and the latest information.

Name

CHARLOTTE Y. MARTIN FOUNDATION-IMA

Employer identification number

47-2057123

Note: Generally, the corporation isn't required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax penalty line of the corporation's income tax return, but do not attach Form 2220.

Part I Required Annual Payment

| | | | |
|----|--|----|--------|
| 1 | Total tax (see instructions) | 1 | 46,617 |
| 2a | Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1 | | |
| b | Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method | 2b | |
| c | Credit for federal tax paid on fuels (see instructions) | 2c | |
| d | Total. Add lines 2a through 2c | 2d | 0 |
| 3 | Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation doesn't owe the penalty | 3 | 46,617 |
| 4 | Enter the tax shown on the corporation's 2016 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5 | 4 | 8,358 |
| 5 | Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3 | 5 | 8,358 |

Part II Reasons for Filing—Check the boxes below that apply. If any boxes are checked, the corporation must file Form 2220 even if it doesn't owe a penalty. See instructions.

- 6 ☐ The corporation is using the adjusted seasonal installment method.
- 7 ☒ The corporation is using the annualized income installment method.
- 8 ☐ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

| | (a) | (b) | (c) | (d) |
|----|-----------|-----------|-----------|------------|
| 9 | 5/15/2017 | 6/15/2017 | 9/15/2017 | 12/15/2017 |
| 10 | 704 | 2,127 | 3,439 | 2,088 |
| 11 | 9,068 | 0 | 53,710 | 0 |
| 12 | | 8,364 | 6,237 | 56,508 |
| 13 | | 8,364 | 59,947 | 56,508 |
| 14 | | 0 | 0 | 0 |
| 15 | 9,068 | 8,364 | 59,947 | 56,508 |
| 16 | | 0 | 0 | |
| 17 | 0 | 0 | 0 | 0 |
| 18 | 8,364 | 6,237 | 56,508 | |

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17—no penalty is owed.

For Paperwork Reduction Act Notice, see separate instructions.
HTA

Form 2220 (2017)

Form 2220 (2017)

CHARLOTTE Y. MARTIN FOUNDATION-IMA

47-2057123

Page 2

Part IV Figuring the Penalty

| | (a) | (b) | (c) | (d) |
|---|---------------------|-----------|-----------|----------------|
| 19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (<i>C Corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.</i>) See instructions | 19 5/15/2017 | 6/15/2017 | 9/15/2017 | 12/15/2017 |
| 20 Number of days from due date of installment on line 9 to the date shown on line 19 | 20 0 | 0 | 0 | 0 |
| 21 Number of days on line 20 after 4/15/2017 and before 7/1/2017 | 21 0 | 0 | 0 | 0 |
| 22 Underpayment on line 17 × $\frac{\text{Number of days on line 21}}{365} \times 4\%$ (0.04) | 22 \$ | \$ | \$ | \$ |
| 23 Number of days on line 20 after 6/30/2017 and before 10/1/2017 | 23 0 | 0 | 0 | 0 |
| 24 Underpayment on line 17 × $\frac{\text{Number of days on line 23}}{365} \times 4\%$ (0.04) | 24 \$ | \$ | \$ | \$ |
| 25 Number of days on line 20 after 9/30/2017 and before 1/1/2018 | 25 0 | 0 | 0 | 0 |
| 26 Underpayment on line 17 × $\frac{\text{Number of days on line 25}}{365} \times 4\%$ (0.04) | 26 \$ | \$ | \$ | \$ |
| 27 Number of days on line 20 after 12/31/2017 and before 4/1/2018 | 27 0 | 0 | 0 | 0 |
| 28 Underpayment on line 17 × $\frac{\text{Number of days on line 27}}{365} \times 4\%$ (0.04) | 28 \$ | \$ | \$ | \$ |
| 29 Number of days on line 20 after 3/31/2018 and before 7/1/2018 | 29 0 | 0 | 0 | 0 |
| 30 Underpayment on line 17 × $\frac{\text{Number of days on line 29}}{365} \times \%$ | 30 \$ | \$ | \$ | \$ |
| 31 Number of days on line 20 after 6/30/2018 and before 10/1/2018 | 31 0 | 0 | 0 | 0 |
| 32 Underpayment on line 17 × $\frac{\text{Number of days on line 31}}{365} \times \%$ | 32 \$ | \$ | \$ | \$ |
| 33 Number of days on line 20 after 9/30/2018 and before 1/1/2019 | 33 0 | 0 | 0 | 0 |
| 34 Underpayment on line 17 × $\frac{\text{Number of days on line 33}}{365} \times \%$ | 34 \$ | \$ | \$ | \$ |
| 35 Number of days on line 20 after 12/31/2018 and before 3/16/2019 | 35 0 | 0 | 0 | 0 |
| 36 Underpayment on line 17 × $\frac{\text{Number of days on line 35}}{365} \times \%$ | 36 \$ | \$ | \$ | \$ |
| 37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36 | 37 \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| 38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 33; or the comparable line for other income tax returns | | | | 38 \$ 0 |

*Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

Form 2220 (2017)

CHARLOTTE Y. MARTIN FOUNDATION-IMA

47-2057123

Page 3

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120S filers: For lines 1, 2, 3, and 21, below, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I Adjusted Seasonal Installment Method**Caution:** Use this method only if the base period percentage for any 6 consecutive months is at least 70%.

See instructions.

| | | (a) | (b) | (c) | (d) |
|-----|---|----------------|----------------|----------------|-----------------|
| | | First 3 months | First 5 months | First 8 months | First 11 months |
| 1 | Enter taxable income for the following periods. | | | | |
| a | Tax year beginning in 2014 | 1a | | | |
| b | Tax year beginning in 2015 | 1b | | | |
| c | Tax year beginning in 2016 | 1c | | | |
| 2 | Enter taxable income for each period for the tax year beginning in 2017. See the instructions for the treatment of extraordinary items | 2 | | | |
| 3 | Enter taxable income for the following periods. | | | | |
| a | Tax year beginning in 2014 | 3a | First 4 months | First 6 months | First 9 months |
| b | Tax year beginning in 2015 | 3b | | | Entire year |
| c | Tax year beginning in 2016 | 3c | | | |
| 4 | Divide the amount in each column on line 1a by the amount in column (d) on line 3a | 4 | 0 | 0 | 0 |
| 5 | Divide the amount in each column on line 1b by the amount in column (d) on line 3b | 5 | 0 | 0 | 0 |
| 6 | Divide the amount in each column on line 1c by the amount in column (d) on line 3c | 6 | 0 | 0 | 0 |
| 7 | Add lines 4 through 6 | 7 | 0 | 0 | 0 |
| 8 | Divide line 7 by 3.0 | 8 | 0 | 0 | 0 |
| 9a | Divide line 2 by line 8 | 9a | 0 | 0 | 0 |
| b | Extraordinary items (see instructions) | 9b | | | |
| c | Add lines 9a and 9b | 9c | 0 | 0 | 0 |
| 10 | Figure the tax on the amount on line 9c using the instructions for Form 1120, Schedule J, line 2 or comparable line of corporation's return | 10 | | | |
| 11a | Divide the amount in columns (a) through (c) on line 3a by the amount in column (d) on line 3a | 11a | 0 | 0 | 0 |
| b | Divide the amount in columns (a) through (c) on line 3b by the amount in column (d) on line 3b | 11b | 0 | 0 | 0 |
| c | Divide the amount in columns (a) through (c) on line 3c by the amount in column (d) on line 3c | 11c | 0 | 0 | 0 |
| 12 | Add lines 11a through 11c | 12 | 0 | 0 | 0 |
| 13 | Divide line 12 by 3.0 | 13 | 0 | 0 | 0 |
| 14 | Multiply the amount in columns (a) through (c) of line 10 by columns (a) through (c) of line 13. In column (d), enter the amount from line 10, column (d) | 14 | 0 | 0 | 0 |
| 15 | Enter any alternative minimum tax for each payment period. See instructions | 15 | | | |
| 16 | Enter any other taxes for each payment period. See instructions | 16 | | | |
| 17 | Add lines 14 through 16 | 17 | 0 | 0 | 0 |
| 18 | For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions | 18 | | | |
| 19 | Total tax after credits. Subtract line 18 from line 17. If zero or less, enter -0- | 19 | 0 | 0 | 0 |

Form 2220 (2017)

Form 2220 (2017)

CHARLOTTE Y. MARTIN FOUNDATION-IMA

47-2057123

Page 4

Part II Annualized Income Installment Method

| | | (a) | (b) | (c) | (d) |
|--|-----|----------------|----------------|----------------|----------------|
| | | First 2 months | First 3 months | First 6 months | First 9 months |
| 20 Annualization periods (see instructions) | 20 | | | | |
| 21 Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items | 21 | 23,453 | 70,769 | 1,813,802 | 1,882,555 |
| 22 Annualization amounts (see instructions) | 22 | 6 | 4 | 2 | 1.33333 |
| 23a Annualized taxable income. Multiply line 21 by line 22 | 23a | 140,718 | 283,076 | 3,627,604 | 2,510,067 |
| b Extraordinary items (see instructions) | 23b | | | | |
| c Add lines 23a and 23b | 23c | 140,718 | 283,076 | 3,627,604 | 2,510,067 |
| 24 Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return | 24 | 2,814 | 5,662 | 72,552 | 50,201 |
| 25 Enter any alternative minimum tax for each payment period (see instructions) | 25 | | | | |
| 26 Enter any other taxes for each payment period. See instructions | 26 | | | | |
| 27 Total tax. Add lines 24 through 26 | 27 | 2,814 | 5,662 | 72,552 | 50,201 |
| 28 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions | 28 | | | | |
| 29 Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0- | 29 | 2,814 | 5,662 | 72,552 | 50,201 |
| 30 Applicable percentage | 30 | 25% | 50% | 75% | 100% |
| 31 Multiply line 29 by line 30 | 31 | 704 | 2,831 | 54,414 | 50,201 |

Part III Required Installments

| | | 1st installment | 2nd installment | 3rd installment | 4th installment |
|--|----|-----------------|-----------------|-----------------|-----------------|
| Note: Complete lines 32 through 38 of one column before completing the next column. | | | | | |
| 32 If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31 | 32 | 704 | 2,831 | 54,414 | 50,201 |
| 33 Add the amounts in all preceding columns of line 32. See instructions | 33 | | 704 | 2,831 | 6,270 |
| 34 Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0- | 34 | 704 | 2,127 | 51,583 | 43,931 |
| 35 Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter | 35 | 2,090 | 2,090 | 2,090 | 2,088 |
| 36 Subtract line 38 of the preceding column from line 37 of the preceding column | 36 | | 1,386 | 1,349 | 0 |
| 37 Add lines 35 and 36 | 37 | 2,090 | 3,476 | 3,439 | 2,088 |
| 38 Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions | 38 | 704 | 2,127 | 3,439 | 2,088 |

Form 2220 (2017)